Compliance with the SBP Framework: Public Summary Report

SCS Global Services
Evaluation of Groupe Savoie Inc

Fourth Surveillance Audit

Sustainable Biomass Program
sbp-cert.org



Completed in accordance with the CB Public Summary Report Template Version 2.1 and SBP Bridging Requirements for Meeting the Directive EU/2023/2413 (REDIII)

For further information on the SBP Framework and to view the full set of documentation see **www.sbp-cert.org**

Document history

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1 Overview

Certification Body (CB) Name:	SCS Global Services
Primary CB contact for SBP:	Maggie Schwartz
Primary CB contact email:	mschwartz@scsglobalservices.com
Audit team leader:	Hervé Bescond
Audit team members:	N/A
Name of the Company:	Groupe Savoie Inc
Company legal address:	251 Route 180, E8A 2K9 Saint-Quentin, Canada
Company contact for SBP:	Danny Perron
Company contact email:	danny.perron@groupesavoie.com
Company website:	www.groupesavoie.com
Date of installation:	
SBP Certificate Code:	SBP-04-05
Date of certificate issue:	06 Jun 2022
Date of certificate expiry:	05 Jun 2027
Audit closing meeting date:	02 Jun 2026
Audit cycle:	Fourth Surveillance Audit

2 Audit conclusions

2.1 Certification decision

Based on the auditor's recommendation and the Certification Body's technical review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Jose del Castillo
Date of decision:	23 Sep 2026
Other comments:	N/A

2.2 Open non-conformities and observations

NC number 9758	NC Grading: Major
Standard:	SBP Standard 2: Feedstock Verification v2.0
Requirement:	6.4 The Organisation shall justify the risk rating using the identified SBVs. The Organisation shall include this information in its SBR. N/A N/A N/A
Description of Non-conformance and Related Evidence:	
The Supply Base Report does not consistently include adequate information to justify the applicable risk ratings. For some specified risk indicators, a description of the identified risk is not provided, while for others, the information provided does not accurately reflect the risk identified in the applicable RRA. Therefore, a Major CAR is issued	
Timeline for Conformance:	3 months from the report finalisation
Evidence Provided by Company to close NC:	N/A
Findings for Evaluation of Evidence:	N/A
NC Status:	Open
Original Due Date:	2026-12-23
Closing Date:	N/A
Upgrade Date:	N/A
Update Date:	N/A

NC number 9759	NC Grading: Major
Standard:	SBP Standard 2: Feedstock Verification v2.0
Requirement:	7.1 The Organisation shall develop a Risk Management Plan (RMP) which includes Risk Management Measures (RMMs) for each Indicator rated as specified risk within its SBE per the Organisation's Risk Assessment or an SBP-endorsed RRA with the

	objective to mitigate the risk and reduce the risk rating to low risk. N/A N/A N/A
Description of Non-conformance and Related Evidence:	
The Organisation has included areas of the United States within its Supply Base and conducted its own Risk Assessment for these areas. However, some indicators identified as specified risk in the applicable SBP-endorsed US Regional Risk Assessment (RRA) were not identified as specified risk in the Organisation's Risk Assessment. Consequently, these specified risks were not included in the Risk Management Plan and no Risk Management Measures were established to mitigate the risks and reduce the risk rating to low risk. The US endorsed RRA identify as specified risk indicators 2.1.3, 2.2.1, 2.2.2 and 3.1.1. The Supply Base Report, section 3, identifies as specified risk indicators 2.2.3 and 4.1.8	
Timeline for Conformance:	3 months from the report finalisation
Evidence Provided by Company to close NC:	N/A
Findings for Evaluation of Evidence:	N/A
NC Status:	Open
Original Due Date:	2026-12-23
Closing Date:	N/A
Upgrade Date:	N/A
Update Date:	N/A

2.2 Closed non-conformities

2.3 Actions taken by Organisation Prior to Report Finalisation

N/A

2.4 Notes for the next Audit

N/A

3 Scope of the audit and SBP certificate

Scope item	Check all that apply to the Certificate scope
Primary activity	Biomass Producer
Secondary activity	
Approved Standards	SBP Standard 1: Feedstock Compliance v2.0; SBP Standard 2: Feedstock Verification v2.0; SBP Standard 4: Chain of Custody v2.0; SBP Standard 5: Collection and Communication of Data v2.0; Instruction Document EU RED: Bridging Requirements for Meeting the Directive EU/2023/2413
Product Group IDs	☒ Forest feedstock (1A) ☐ Trees outside forest (TOF) - Urban and landscape feedstock (2A) ☐ Trees outside forest (TOF) - Agricultural land feedstock (3A) ☒ Processing residues feedstock (4A) ☐ Post-consumer feedstock (5A) ☐ Other (please specify)
SBP Feedstock types	☒ SBP Compliant; ☒ SBP Controlled
Includes Supply Base Evaluation (SBE)	Yes
Includes EU RED Scheme	Yes
Includes EU RED Supply Base Evaluation (SBE)	No
Products	WB 1.1 Wood pellets
Feedstock origin (countries):	Canada, United States
SBP-endorsed Regional Risk Assessments used: **Public link:** https://sbp-cert.org/documents/standards-documents/risk-assessments/	Not applicable, SBP-RRA-CA-QC-FOR_v2.0 RRA for Quebec FOR_Interim, SBP-RRA-US-NF-FOR_v1.0 RRA for US National FOR_Interim, SBP-RRA-US-PF-FOR_v1.0 RRA for US Private FOR_Interim, SBP-RRA-CA-NB-FOR_v1.0 RRA for New Brunswick FOR_Interim, SBP-RRA-CA-NS-FOR_v1.0 RRA for Nova Scotia FOR_Interim
Chain of custody system	☐ Physical separation ☒ Mass balance
Outsourcing	No
Changes in the scope	N/A

3.1 Description of the company

Guidance: Please describe the type, structure and operations of the company including its role in the supply chain, its inputs and outputs as they related to SBP Standards.

Groupe Savoie Inc. manufactures industrial wood pellets for export to European power utilities and residential wood pellets for domestic markets. Groupe Savoie's main operation site is located in Saint-Quentin, New Brunswick (N.B.) Canada, where a pellet mill, two sawmills, a components plant, a pallet plant and dry kiln are located. Groupe Savoie also operates a component plant and a dry kiln in Kedgwick, N.B., one pallet production and recycling plant in Moncton, N.B., and one sawmill in Westville, Nova-Scotia. The organization sources primary material, such as treetops and branches through external suppliers and secondary material such as sawdust, shavings and bark through their own operation and from other sawmills. Feedstock for the pellet mill is composed mainly of primary feedstock from the province of New-Brunswick. To a lesser extent, feedstock is sourced from Québec, Ontario, Nova Scotia and Prince Edward Island in Canada and the New England states of Maine, Connecticut, Vermont, New Hampshire, New Jersey, New York, Massachusetts and Pennsylvania. Groupe Savoie's sawmill residuals (sawdust, shavings and bark) and forest operation residuals (branches and tops) are the primary feedstock for the Saint-Quentin wood pellet plant. All feedstock is sourced through Groupe Savoie's PEFC Chain of Custody certificate which covers PEFC certified input as well as PEFC Controlled sources, which are sourced through a risk assessment. Both PEFC certified material and PEFC controlled sources constitute input for pellet production. The organization operates a PEFC chain of custody percentage-based method with volume credit method and has sufficient credit to sell all pellets with an SBP-compliant claim. The organization transports pellets from the Saint-Quentin plant to the port Belledune where they are stored in a warehouse. The pellets change ownership to Groupe Savoie's customers upon loading of the ship. Transport, unloading, and loading of pellets is outsourced to Eastern Canada Stevedoring. A representative of Groupe Savoie supervises the loading of ships with pellets.

3.2 Site details

Legal entity name	Address	Site Activity	Visited during the audit
Kedgwick	Kedgwick, NB	Completed harvesting	☒
St Quentin	St Quentin, NB	Completed harvesting	☒

3.3 Detailed description of the Chain of Custody system and Product Groups

Guidance: Please describe the operation of the CoC system including details of the mass balance management and a list of established Product Groups.

All material is subject to the organization's COC procedures for sourcing certified and non-certified material. The organization sources material from certified sources under its valid COC certificate(s) per PEFC. The volume credit method is used to control SBP claims. Non-certified material is verified through PEFC DDS.

4 Specific objective of the audit

The specific objective of this evaluation was to confirm that the Biomass Producer's management system is capable of ensuring that all requirements of specified SBP Standards are implemented over scope of certification.
If applicable, the following *pre-audit activities* were conducted: ☐ pre-assessment; ☐ site visits ☒ N/A
The following Critical Control Points (CCPs) were identified and evaluated (edit list as appropriate and describe how the organization controls each point and how it was evaluated). Note that you may identify other CCPs for a particular client which you should also describe in the report:

CCP	Description, including how evaluated by SCS
Processes for procurement and processing, transport and storage	Processes for procurement and processing, transport and storage - Review of supplier documentation and observations during remote facility inspection, interviews with staff - Observation of feedstock storage and sorting prior to loading into hoppers to initiate the production process during remote inspection. No feedstock can enter the production process from any other point. - After processing, SBP material is stored in silos prior to loading it into transport trucks. SBP material is weighed prior to exiting the pellet mill for the Port. - Transport trucks must pass a security point at the Port of Belledune. The BP's pellets are stored in a separate warehouse after transport information has been verified. From the warehouse, pellets are loaded onto ships. During vessel loading, the BP's pellets may be mixed with SBP-compliant or SBP-controlled pellets from other SBP-certified producers that also store SBP-certified pellets at the Port. This is most commonly done to "top off" ship loads to ensure more efficient shipping. Upon entry into the buyer's ship, the pellets come under the legal ownership of the buyer.
Volume accounting method	Tracked in mass balance accounts and production reports. Confirmed via review of said records.
Documentation of transactions	All SBP transactions are recorded on DTS and invoices, and deductions are made from the BP's credit account. Confirmed via review of said records.
Energy data collection and reporting	Review of SAR, energy invoices, and calculations, as well as supporting data and any assumptions made (e.g., conversion factors), and interviews with staff.

5 Evaluation process

5.1 Timing and duration of evaluation activities

Audit Level of Effort (LoE)		
Activity	**Auditors**	**Auditor hours**
1. Preparation	Hervé Bescond	16.0
2. On-site (excl. travel time)	Hervé Bescond	8.0
3. Report writing	Hervé Bescond	10.0

5.2 Auditor team qualification

Auditor qualification		
Auditor name	**Role**	**Qualification**
Hervé Bescond	Team Leader	Lead SBP, PEFC CoC, SFI Coc, SFI FM , FSC CoC, FSC FM and ISO 14001 auditor

5.3 Description of evaluation activities

Site Name or Location: 251 Route 180, Saint-Quentin, E8A 2K9, Canada

Date and Time of Audit: May 28, 2026

Audit Activity	**Items to Review / Actions**	**Approx. Start Time**
Opening meeting	Introductions, auditor review of audit scope, audit plan and intro/update to SBP, FSC, and SCS standards and protocols, client description of organization	8:00 AM
Review of CoC/SBP procedures, products and material accounting	Written procedures, work instructions, feedstock description (see ID 5B section 4), product group list, accounting system (transfer, percentage or credit; physical separation, percentage method)	8:30 AM
Review of material balances and records	Auditor-selected sample of the following: material tracking system, summary of purchases and sales, invoices, shipping documents, training records, outsourcing agreements, other applicable SBP/CoC systems, procedures and records	11:30 AM
Verification of calculations	Auditor-selected sample and verification of calculations for conversion factors, percentage claims, and credit accounts, as applicable	1:30 PM
SBP ST 5, ID5A, ID5B, & ID5C	Review of GHG data collection	2:30 PM
Site Name or Location:	251 Route 180, Saint-Quentin, E8A 2K9, Canada	

Date and Time of Audit:	May 28-June 1, 2026	
Audit Activity	**Items to Review / Actions**	**Approx. Start Time**
Secondary Supplier Audits	Interviews with suppliers	4 hours
Site Name or Location:	251 Route 180, Saint-Quentin, E8A 2K9, Canada	
Date and Time of Audit:	June 2, 2026	
Audit Activity	**Items to Review / Actions**	**Approx. Start Time**
SBP ST 5, ID5A, ID5B, & ID5C	Review of GHG data collection	8:00 AM
Evaluation of trademarks	Review of auditor-selected sample of SBP and SCS on-product and/or promotional trademark uses; review of any on-site trademark uses such as banners, posters, entryway signs	8:45 AM
Walkthrough of facility	Review of physical inputs and outputs, material receipt, processing, storage, credit account (if applicable), sale, and overall control	10:00 AM
Staff interviews	Interviews with appropriate number and diversity of staff to assess knowledge of CoC procedures related to their position	*during walk-through*
SBE FMU Visit	Review of procurement and Best Management Practices implementation	4 hours
Closing meeting preparation	Auditor takes time to consolidate notes and review audit findings for presentation at closing meeting	1 hours
Closing meeting and review of findings	Convene with all relevant staff to summarize audit findings, review identified nonconformities, and discuss next steps	30 min
	End	

5.4 List of forest management units and feedstock suppliers visited

☒ N/A – No forest sites or suppliers visited during this evaluation
Non applicable

5.5 Describe audit sampling methodology used

Refer to the audit itinerary above. For all SBP evaluations, SCS may collect evidence using a combination of direct observation, document and record review, and interviews with stakeholders, rightsholders and the organization's personnel & service providers. As reviewing all operations would be cost-prohibitive, SCS implements sampling techniques to ensure that all CCPs are assessed during evaluations. When relevant, other areas and locations are sampled during sequential audits to ensure that different aspects of the organization's control systems are evaluated. For feedstock suppliers, a sample was determined based on the type of feedstock (e.g., primary, secondary, tertiary). The BP uses software to track all inputs per legal and COC control system requirements to populate its credit account. Information for two certified suppliers was verified. Invoices for DTS transactions were verified during the audit. Interviews with staff and service provider(s) were held to determine effectiveness of training and to confirm implementation of procedures and verify training records. Greenhouse Gas (GHG) data included in the SAR was verified through a sample of Excel spreadsheets, utility invoices, lab reports, and the BP's volume credit account.

5.6 CB stakeholder engagement

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

Guidance: Please specify the number and types of stakeholders engaged, method of engagement and its outcomes.

5.7 Stakeholder feedback and response

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

5.8 Main strengths and weaknesses of the management system and operations

Strengths	Weaknesses
The strength of BP's program is the integration of SBP requirements into its existing fibre sourcing management system and procedures designed to meet requirements of applicable laws and regulations in the supply base and the requirements of PEFC CoC Standard. Staff have demonstrated a strong knowledge of the forestry industry practices and have a good awareness of suppliers operating in the region and have limited the number of fibre suppliers. The BP has the organizational capacity to systematically meet performance objectives and SBP requirements based on the elements of the SBP Standards that were tested.	N/A

5.9 Summary of evaluation activities for robustness of the Supply Base Evaluation

☐ N/A – Standard 1 not in the scope of the evaluation

The SBE depends highly on provincial legal frameworks, most of which are complete enough to ensure compliance. The BP implements control measures to ensure compliance to legal requirements, such as contracts, supplier declarations, and internal and supplier audits. Other elements of the SBE depends on risk analyses and publications on common forest practices and trends of the region.
The current definition of scope is adequate for the specific characteristics of the Supply Base and management systems in place.
The means of verification and evidence provide enough evidence to support the risk conclusion.
The mitigation measures implemented for specified risk are sufficient and adequate.
The personnel involved in the development of the Supply Base Evaluation (SBE) is knowledgeable in the required fields.

5.10 Summary of evaluation activities for Processing residues and/or Post-consumer feedstock requirements per Standard 2 and EU RED

Tour of the site, Verification of supplier documentation, Interviews

5.11 Summary of evaluation activities for chain of custody system per Standard 4

Tour of the site, Verification of supplier documentation, Interviews

5.12 Summary of evaluation activities for collection and communication of data per Standard 5

The auditor confirms that Groupe Savoie has a sufficient data collection and record keeping system.
During the audit it was reviewed how energy demand along the life cycle of the pellets including the

sourcing of feedstock input from the forest, production at the facility, transportation to the port and storageand handling at the port. SCS concluded that SGrouupe Savoie has the competency to analyse and accurately report the required data on energy required throughout their operations.

5.13 Summary of audit findings for competency of involved personnel

The SBP management administrator has several years of managing the PEFC Chain of Custody, the SFI Fibre Sourcing and other certifications for Groupe Savoie. He was very knowledgeable of SBP requirements and manages most of the aspects of the certification. He manages clean and comprehensive databases of records and data pertaining to the SBP and PEFC certifications. All other personnel involved showed good awareness of PEFC and SBP requirements pertaining to their roles. Regarding SBP, the organization is consulted by Daniel Martin (Abies Consultants Inc.) who has several years of experience in forestry in the region and different forestry management certifications, such as FSC, PEFC, SFI and SBP.

5.14 Summary of audit findings for GHG methodology per Standard 6 (if applicable)

Tour of the site, Summary verification, Verification of utility invoices, Interviews

6 Detailed evaluation of BP's Supply Base Evaluation (if applicable)

☐ N/A – Supply Base Evaluation not in the scope of the evaluation

6.1 Overview of BP's risk assessments and mitigation measures including EU RED scope

Quebec

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

	Public forest	Private forest under the program	Private forest outside the program
1.1.1	Low risk	Low risk	Specified risk
1.1.2	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk
1.1.4	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Specified risk
2.1.2	Low risk	Low risk	Specified risk
2.1.3	Low risk	Low risk	Low risk
2.1.4	Low risk	Low risk	Low risk
2.2.1	Low risk	Low risk	Low risk
2.2.2	Low risk	Low risk	Low risk
2.2.3	Low risk	Low risk	Specified risk
2.2.4	Low risk	Low risk	Specified risk
2.2.5	Low risk	Low risk	Specified risk
2.2.6	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Low risk
2.2.8	Low risk	Low risk	Low risk
2.2.9	Low risk	Low risk	Low risk
2.2.10	Low risk	Low risk	Specified risk
2.2.11	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk
3.2.1	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Specified risk
3.2.3	Low risk	Low risk	Low risk
3.3.1	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk

4.1.5	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk
4.1.8	Low risk	Low risk	Low risk
4.1.9	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk
4.2.1	Low risk	Low risk	Low risk
4.2.2	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Low risk
4.2.4	Low risk	Low risk	Low risk
4.2.5	Low risk	Low risk	Low risk
4.2.6	Low risk	Low risk	Low risk
4.2.7	Low risk	Low risk	Low risk

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures.

	Public forest	Private forest under the program	Private forest outside the program
1.1.1	Low risk	Low risk	Low risk
1.1.2	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk
1.1.4	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Low risk
2.1.2	Low risk	Low risk	Low risk
2.1.3	Low risk	Low risk	Low risk
2.1.4	Low risk	Low risk	Low risk
2.2.1	Low risk	Low risk	Low risk
2.2.2	Low risk	Low risk	Low risk
2.2.3	Low risk	Low risk	Low risk
2.2.4	Low risk	Low risk	Low risk
2.2.5	Low risk	Low risk	Low risk
2.2.6	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Low risk
2.2.8	Low risk	Low risk	Low risk
2.2.9	Low risk	Low risk	Low risk
2.2.10	Low risk	Low risk	Low risk
2.2.11	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk

3.2.1	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Low risk
3.2.3	Low risk	Low risk	Low risk
3.3.1	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk
4.1.5	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk
4.1.8	Low risk	Low risk	Low risk
4.1.9	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk
4.2.1	Low risk	Low risk	Low risk
4.2.2	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Low risk
4.2.4	Low risk	Low risk	Low risk
4.2.5	Low risk	Low risk	Low risk
4.2.6	Low risk	Low risk	Low risk
4.2.7	Low risk	Low risk	Low risk

New Brunswick

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

	Provincial Crown License	Industrial Private	Private Woodlots
1.1.1	Low risk	Low risk	Low risk
1.1.2	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk
1.1.4	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Low risk
2.1.2	Low risk	Low risk	Low risk
2.1.3	Low risk	Specified risk	Specified risk
2.1.4	Low risk	Specified risk	Specified risk
2.2.1	Low risk	Low risk	Specified risk
2.2.2	Low risk	Low risk	Specified risk

2.2.3	Low risk	Low risk	Specified risk	Specified risk
2.2.4	Low risk	Low risk	Low risk	Low risk
2.2.5	Low risk	Low risk	Low risk	Low risk
2.2.6	Low risk	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Low risk	Low risk
2.2.8	Low risk	Low risk	Low risk	Specified risk
2.2.9	Low risk	Low risk	Specified risk	Low risk
2.2.10	Low risk	Low risk	Specified risk	Specified risk
2.2.11	Low risk	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk	Low risk
3.2.1	Low risk	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Specified risk	Specified risk
3.2.3	Low risk	Specified risk	Specified risk	Specified risk
3.3.1	Low risk	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk	Low risk
4.1.5	Low risk	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk	Low risk
4.1.8	Low risk	Low risk	Specified risk	Specified risk
4.1.9	Low risk	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk	Specified risk
4.2.1	Low risk	Low risk	Specified risk	Specified risk
4.2.2	Low risk	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Low risk	Low risk
4.2.4	Low risk	Specified risk	Specified risk	Low risk
4.2.5	Low risk	Low risk	Low risk	Low risk
4.2.6	Low risk	Specified risk	Specified risk	Low risk
4.2.7	Low risk	Low risk	Low risk	Low risk

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures

	Provincial Crown License		Industrial Private	Private Woodlots
1.1.1	Low risk	Low risk	Low risk	Low risk
1.1.2	Low risk	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk	Low risk

1.1.4	Low risk	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Low risk	Low risk
2.1.2	Low risk	Low risk	Low risk	Low risk
2.1.3	Low risk	Low risk	Low risk	Low risk
2.1.4	Low risk	Low risk	Low risk	Low risk
2.2.1	Low risk	Low risk	Low risk	Low risk
2.2.2	Low risk	Low risk	Low risk	Low risk
2.2.3	Low risk	Low risk	Low risk	Low risk
2.2.4	Low risk	Low risk	Low risk	Low risk
2.2.5	Low risk	Low risk	Low risk	Low risk
2.2.6	Low risk	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Low risk	Low risk
2.2.8	Low risk	Low risk	Low risk	Low risk
2.2.9	Low risk	Low risk	Low risk	Low risk
2.2.10	Low risk	Low risk	Low risk	Low risk
2.2.11	Low risk	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk	Low risk
3.2.1	Low risk	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Low risk	Low risk
3.2.3	Low risk	Low risk	Low risk	Low risk
3.3.1	Low risk	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk	Low risk
4.1.5	Low risk	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk	Low risk
4.1.8	Low risk	Low risk	Low risk	Low risk
4.1.9	Low risk	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk	Low risk
4.2.1	Low risk	Low risk	Low risk	Low risk
4.2.2	Low risk	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Low risk	Low risk
4.2.4	Low risk	Low risk	Low risk	Low risk
4.2.5	Low risk	Low risk	Low risk	Low risk
4.2.6	Low risk	Low risk	Low risk	Low risk

4.2.7	Low risk	Low risk	Low risk	Low risk

Nova Scotia

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

	Provincial	Crown Licence	Industrial Private	Private Woodlots
1.1.1	Low risk	Low risk	Low risk	Low risk
1.1.2	Low risk	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk	Low risk
1.1.4	Low risk	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Low risk	Low risk
2.1.2	Low risk	Low risk	Low risk	Low risk
2.1.3	Low risk	Specified risk	Specified risk	Specified risk
2.1.4	Low risk	Specified risk	Specified risk	Specified risk
2.2.1	Low risk	Low risk	Specified risk	Specified risk
2.2.2	Low risk	Low risk	Specified risk	Specified risk
2.2.3	Low risk	Low risk	Specified risk	Specified risk
2.2.4	Low risk	Low risk	Specified risk	Specified risk
2.2.5	Low risk	Low risk	Specified risk	Specified risk
2.2.6	Low risk	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Specified risk	Specified risk
2.2.8	Low risk	Low risk	Specified risk	Specified risk
2.2.9	Low risk	Low risk	Low risk	Low risk
2.2.10	Low risk	Low risk	Low risk	Specified risk
2.2.11	Low risk	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk	Low risk
3.2.1	Low risk	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Specified risk	Specified risk
3.2.3	Low risk	Specified risk	Specified risk	Specified risk
3.3.1	Low risk	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk	Low risk
4.1.5	Low risk	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk	Low risk

4.1.8	Specified risk	Low risk	Low risk	Low risk
4.1.9	Low risk	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk	Specified risk
4.2.1	Low risk	Low risk	Specified risk	Specified risk
4.2.2	Low risk	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Specified risk	Specified risk
4.2.4	Low risk	Low risk	Low risk	Low risk
4.2.5	Low risk	Low risk	Low risk	Low risk
4.2.6	Low risk	Low risk	Specified risk	Specified risk
4.2.7	Low risk	Low risk	Low risk	Low risk

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures

	Provincial Crown Licence		Industrial Private	Private Woodlots
1.1.1	Low risk	Low risk	Low risk	Low risk
1.1.2	Low risk	Low risk	Low risk	Low risk
1.1.3	Low risk	Low risk	Low risk	Low risk
1.1.4	Low risk	Low risk	Low risk	Low risk
1.1.5	Low risk	Low risk	Low risk	Low risk
2.1.1	Low risk	Low risk	Low risk	Low risk
2.1.2	Low risk	Low risk	Low risk	Low risk
2.1.3	Low risk	Low risk	Low risk	Low risk
2.1.4	Low risk	Low risk	Low risk	Low risk
2.2.1	Low risk	Low risk	Low risk	Low risk
2.2.2	Low risk	Low risk	Low risk	Low risk
2.2.3	Low risk	Low risk	Low risk	Low risk
2.2.4	Low risk	Low risk	Low risk	Low risk
2.2.5	Low risk	Low risk	Low risk	Low risk
2.2.6	Low risk	Low risk	Low risk	Low risk
2.2.7	Low risk	Low risk	Low risk	Low risk
2.2.8	Low risk	Low risk	Low risk	Low risk
2.2.9	Low risk	Low risk	Low risk	Low risk
2.2.10	Low risk	Low risk	Low risk	Low risk
2.2.11	Low risk	Low risk	Low risk	Low risk
2.2.12	Low risk	Low risk	Low risk	Low risk
3.1.1	Low risk	Low risk	Low risk	Low risk
3.2.1	Low risk	Low risk	Low risk	Low risk
3.2.2	Low risk	Low risk	Low risk	Low risk
3.2.3	Low risk	Low risk	Low risk	Low risk

3.3.1	Low risk	Low risk	Low risk	Low risk
4.1.1	Low risk	Low risk	Low risk	Low risk
4.1.2	Low risk	Low risk	Low risk	Low risk
4.1.3	Low risk	Low risk	Low risk	Low risk
4.1.4	Low risk	Low risk	Low risk	Low risk
4.1.5	Low risk	Low risk	Low risk	Low risk
4.1.6	Low risk	Low risk	Low risk	Low risk
4.1.7	Low risk	Low risk	Low risk	Low risk
4.1.8	Low risk	Low risk	Low risk	Low risk
4.1.9	Low risk	Low risk	Low risk	Low risk
4.1.10	Low risk	Low risk	Low risk	Low risk
4.2.1	Low risk	Low risk	Low risk	Low risk
4.2.2	Low risk	Low risk	Low risk	Low risk
4.2.3	Low risk	Low risk	Low risk	Low risk
4.2.4	Low risk	Low risk	Low risk	Low risk
4.2.5	Low risk	Low risk	Low risk	Low risk
4.2.6	Low risk	Low risk	Low risk	Low risk
4.2.7	Low risk	Low risk	Low risk	Low risk

USA

Table 1. Final risk ratings of Indicators as determined BEFORE the SVP and any mitigation measures.

	US National Forest	US Private Forest
1.1.1	Low risk	Low risk
1.1.2	Low risk	Low risk
1.1.3	Low risk	Low risk
1.1.4	Low risk	Low risk
1.1.5	Low risk	Low risk
2.1.1	Low risk	Low risk
2.1.2	Low risk	Low risk
2.1.3	Low risk	Specified risk
2.1.4	Low risk	Specified risk
2.2.1	Low risk	Specified risk
2.2.2	Low risk	Low risk
2.2.3	Low risk	Low risk
2.2.4	Low risk	Low risk
2.2.5	Low risk	Low risk
2.2.6	Low risk	Low risk

2.2.7	Low risk	Low risk
2.2.8	Low risk	Low risk
2.2.9	Low risk	Low risk
2.2.10	Low risk	Low risk
2.2.11	Low risk	Low risk
2.2.12	Low risk	Low risk
3.1.1	Low risk	Low risk
3.2.1	Low risk	Low risk
3.2.2	Low risk	Low risk
3.2.3	Low risk	Low risk
3.3.1	Low risk	Low risk
4.1.1	Low risk	Low risk
4.1.2	Low risk	Low risk
4.1.3	Low risk	Low risk
4.1.4	Low risk	Low risk
4.1.5	Low risk	Low risk
4.1.6	Low risk	Low risk
4.1.7	Low risk	Low risk
4.1.8	Low risk	Low risk
4.1.9	Low risk	Low risk
4.1.10	Low risk	Low risk
4.2.1	Low risk	Low risk
4.2.2	Low risk	Low risk
4.2.3	Low risk	Low risk
4.2.4	Low risk	Low risk
4.2.5	Low risk	Low risk
4.2.6	Low risk	Low risk
4.2.7	Low risk	Low risk

Table 2. Final risk ratings of Indicators as determined AFTER the SVP and any mitigation measures

	US National Forest	US Private Forest
1.1.1	Low risk	Low risk
1.1.2	Low risk	Low risk
1.1.3	Low risk	Low risk
1.1.4	Low risk	Low risk
1.1.5	Low risk	Low risk
2.1.1	Low risk	Low risk
2.1.2	Low risk	Low risk
2.1.3	Low risk	Low risk

2.1.4	Low risk	Low risk
2.2.1	Low risk	Low risk
2.2.2	Low risk	Low risk
2.2.3	Low risk	Low risk
2.2.4	Low risk	Low risk
2.2.5	Low risk	Low risk
2.2.6	Low risk	Low risk
2.2.7	Low risk	Low risk
2.2.8	Low risk	Low risk
2.2.9	Low risk	Low risk
2.2.10	Low risk	Low risk
2.2.11	Low risk	Low risk
2.2.12	Low risk	Low risk
3.1.1	Low risk	Low risk
3.2.1	Low risk	Low risk
3.2.2	Low risk	Low risk
3.2.3	Low risk	Low risk
3.3.1	Low risk	Low risk
4.1.1	Low risk	Low risk
4.1.2	Low risk	Low risk
4.1.3	Low risk	Low risk
4.1.4	Low risk	Low risk
4.1.5	Low risk	Low risk
4.1.6	Low risk	Low risk
4.1.7	Low risk	Low risk
4.1.8	Low risk	Low risk
4.1.9	Low risk	Low risk
4.1.10	Low risk	Low risk
4.2.1	Low risk	Low risk
4.2.2	Low risk	Low risk
4.2.3	Low risk	Low risk
4.2.4	Low risk	Low risk
4.2.5	Low risk	Low risk
4.2.6	Low risk	Low risk
4.2.7	Low risk	Low risk

6.2 CB evaluation of specified risk indicators and mitigation measures

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
1.1.1 Operations related to feedstock sourcing and biomass production shall comply with all existing applicable laws and regulations.
Specified risk description
For activities in private forests without the use of management assistance programs, there is no effective monitoring system to ensure compliance with regulatory requirements. Consequently, the risk is Specified.
Detailed description of a mitigation measure
Implementation of mechanisms ensuring that the wood supply does not come from areas where there are violations of traditional or civil right: .Implementation of a Due Diligence System that includes the identification of areas under treaty, land claim or potential situations of traditional or civil rights violations. ·Due Diligence System subject to annual review. ·Weekly follow-up of regional news on Aboriginal peoples and more particularly related to Mi'kmaq, Malécites and Huron-wendat communities. ·Internal communication relating to potential or actual conflict situations within the Savoie supply territory. ·Process for handling complaints with a stop-timber purchase clause
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
2.1.1 Key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity in the Supply Base shall be identified.
Specified risk description
In the case of activities in private forests without the use of management assistance programs, a certain risk is posed by the absence of documentation or supervision by forestry professionals
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.

Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
2.1.2 Threats to and impacts on the identified key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity in the Supply Base shall be identified and evaluated.
Specified risk description
There is a definite risk in private forests without the use of management assistance programs that the threats and impacts on the main species, natural habitats, ecosystems and areas of high conservation value (HCV) relating to biodiversity in the supply area will not be identified and assessed.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
2.2.3 Soil quality in the Supply Base shall be maintained or enhanced
Specified risk description
The risk is Specified for activities carried out in private forests without recourse to management assistance programs, due to the absence of public data and documented monitoring of soil protection.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
2.2.4 Where the removal of harvest forest residues and/or stumps occurs, this shall not lead to irreversible negative impacts to the ecosystem.
Specified risk description
Since no laws or practices have been associated with biomass harvesting in private forests, the analysis concludes that there is a definite risk for this tenure.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program
Indicator
2.2.5 Quality and quantity of ground water, surface water and water downstream shall be maintained or enhanced.
Specified risk description
There is no public database to validate the soil protection of activities carried out in private forests without recourse to management assistance program
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
Quebec-Private forest outside the program

Indicator
2.2.10 Harvested areas shall be regenerated
Specified risk description
There is no public database to validate the regeneration of stands after final felling carried out without recourse to management aid programs.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick
Indicator
2.1.3 Key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity in the Supply Base shall be maintained or enhanced.
Specified risk description
There is an extensive federal and provincial regulatory framework governing biodiversity values. On industrial private land and private woodlots, due to a lack of evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of the maintenance of landscape-level and stand-level biodiversity values, and a precautionary approach is applied
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private, Private Woodlots
Indicator
2.2.1 Feedstock shall not be sourced from land that had one of the following statuses in January 2008 and no longer has that status due to land conversion: a. Forests b. Wetlands c. Peatlands d. Highly biodiverse grasslands.

Specified risk description
Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework, and results of current condition of deforestation, a precautionary approach is applied. As such, this Indicator is designated as specified risk on industrial private land and private woodlots in New Brunswick
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Mitigation measures are adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private, Private Woodlots
Indicator
2.2.2 Ecosystems, their health, vitality, functions and services in the Supply Base shall be maintained or enhanced.
Specified risk description
Indicator has a specified risk designation for industrial private land in New Brunswick. Two of the six Indicators which detail the functions and services that must be maintained to have a healthy and vital ecosystem, (2.2.3 – Soil Quality Maintained or Enhanced and 2.2.10 – Regen After Harvest) have a specified risk designation for private woodlots in New Brunswick. As such, this Indicator also has a specified risk designation for private woodlots in New Brunswick.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Control mearure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private,Private Woodlots
Indicator
2.2.3 Soil quality in the Supply Base shall be maintained or enhanced
Specified risk description

Industrial Private Land Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of soil quality, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in New Brunswick. Private Woodlots Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of soil quality, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in in New Brunswick
Detailed description of a mitigation measure
Fields assessment to verify that measures have been implemented to ensure soil protection in Industrial Private and/or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Private Woodlots
Indicator
2.2.8 Waste shall be disposed of in an environmentally appropriate manner.
Specified risk description
On private woodlots, the provincial legislation related to the waste management is comprehensive. Due to a lack of evidence to implementation mechanisms and/or results to verify current condition of waste management, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in New Brunswick.
Detailed description of a mitigation measure
Fields assessment to verify that waste is disposed of in an environmentally appropriate manner on Industrial Private and/or Private Woodlots
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private, Private Woodlots
Indicator
2.2.10 Harvested areas shall be regenerated
Specified risk description

ndustrial Private Land Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of regeneration following timber harvesting, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in New Brunswick. Private Woodlots There is evidence that regeneration activities are completed on private woodlots that participate in the Private Woodlots Silviculture Program, however, the level of participation is not being applied consistently across private woodlots in NB. Most private woodlot areas harvested are naturally regenerated, and there is no systematic survey of regeneration that would attest to the adequacy of stocking. Due to a lack of results, a precautionary approach is applied and this Indicator has been designated as specified risk for private woodlots in New Brunswick.

Detailed description of a mitigation measure

Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.

Detailed description of CB evaluation of mitigation measure and its conclusions

Control measure adequate and implemented

Country

Canada

Area/Sub-Scope

New Brunswick-Industrial Private, Private Woodlots

Indicator

3.2.2 Primary feedstock shall not be sourced from forest areas where site productivity is low and, according to local definitions or norms, the areas are classified as low-productive or difficult to regenerate.

Specified risk description

ndustrial Private Land Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of timber harvesting from low-productive or difficult to regenerate sites, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in New Brunswick. Private Woodlots Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of timber harvesting from low-productive or difficult to regenerate sites, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in New Brunswick.

Detailed description of a mitigation measure

Field assessments to confirm that the primary supply does not come from sites sensitive to nutrient loss harvested in final cut without redistribution of branches and tops within the cutting area in Industrial Private or Private Woodlots

Detailed description of CB evaluation of mitigation measure and its conclusions

Control measure adequate and implemented

Country

Canada
Area/Sub-Scope
New Brunswick
Indicator
3.2.3 feedstock shall not be sourced from forest areas in the Supply Base which, according to local definitions or norms, are classified as having combined attributes of high carbon stocks and high conservation value (HCV).
Specified risk description
The federal and provincial regulatory framework governing the management and maintenance of biodiversity values is extensive (Details provided in Indicator 2.1.3 Key Eco/HCV Maintained or Enhanced). There is no regulatory framework specific to the management of high carbon stocks. A specified risk designation was applied to Indicator 2.1.3 Key Eco/HCV Maintained or Enhanced for Crown license, industrial private land and private woodlots. Additionally, there is a lack of evidence to support implementation mechanisms, an oversight/monitoring framework, and/or results to verify the identification and the subsequent avoidance of harvesting of primary feedstock from forests that have combined attributes of high carbon stocks and high conservation values on Crown license, industrial private land and private woodlots. Given the lack of evidence, a precautionary approach is applied. As such, this Indicator is designated as specified risk for Crown license, industrial private land and private woodlots in New Brunswick.
Detailed description of a mitigation measure
Field assessments to confirm that primary feedstock is not sourced from forest areas which are classified as having combined attributes of high carbon stocks and high conservation values (according to local definitions or norms).
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private, Private Woodlots
Indicator
4.1.8 Training shall be provided for all workers to allow them to implement the conditions set out in all elements of the SBP Standards relevant to their responsibilities.
Specified risk description
At the professional level, mandatory requirements are set for standards of conduct, continuing competency development, and annual competence assessments. ARPFNB's regulatory framework ensures the ongoing training and competence of forest professionals registered to practice in NB. At the forest worker level, due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify training for forest workers related to roles and responsibilities, a precautionary approach is applied. As such, this Indicator is designated as specified risk at the provincial level in New Brunswick.
Detailed description of a mitigation measure

Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Private Woodlots
Indicator
4.1.10 Safeguards shall be put in place to protect the health and safety of workers by developing, communicating and implementing policies and procedures.
Specified risk description
Several individuals or small businesses operating on private woodlots in NB and are not, required to be WorkSafeBC registered. Due to a lack of evidence to support implementation mechanisms, a monitoring framework and/or results to verify safeguards are in place to protect the health and safety of workers, this Indicator is designated as specified risk for other private land in New Brunswick.
Detailed description of a mitigation measure
Field assessments. Ensuring safeguards are put in place to protect the health and safety of workers through the development, communication and implementation of policies and procedures
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implmented

Country
Canada
Area/Sub-Scope
New Brunswick-Industrial Private, Private Woodlots
Indicator
4.2.1 Negative social and community impacts shall be identified and avoided.
Specified risk description
ndustrial Private Land Due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of negative social and community impacts, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in New Brunswick. Private Woodlots Due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of negative social and community impacts, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in New Brunswick

Detailed description of a mitigation measure
Field assessments. Verification for evidence of identification and avoidance of negative social and community impacts.
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Crown License and Industrial Private
Indicator
4.2.4 Legal, customary, and traditional tenure and use rights of Indigenous Peoples and local communities related to the Supply Base shall be identified, documented, and respected.
Specified risk description
Mi'kmaq and Wolastoqey Nations land title assertions are in the court system against the Crown and industrial private land owners, and there are on-going lawsuits related to forest management. Due to this, the documentation and respecting of traditional tenure and use rights of First Nations cannot be verified. Based on evidence reviewed, this Indicator is designated as specified risk for Crown licenses and industrial private land in New Brunswick. First Nations have not asserted tenure and use rights on private woodlots. As such, this Indicator is designated as low risk for private woodlots in New Brunswick.
Detailed description of a mitigation measure
A dispute resolution process is established specifically to address issues arising from violations of the right of Indigenous Peoples related to forest management activities.
Detailed description of CB evaluation of mitigation measure and its conclusions
Control measure adequate and implemented

Country
Canada
Area/Sub-Scope
New Brunswick-Crown License and Industrial Private
Indicator
4.2.6 Where Indigenous Peoples' rights are identified in the Supply Base, and Free Prior and Informed Consent (FPIC) has not been achieved for the proposed and planned activities, a consultation and, if required, accommodation process shall be put in place.
Specified risk description
Currently there is no publicly available information on consultation on AOPs and FMPs. Consultation with First Nations on AOPs is starting in the 2024/25 year. Because forestry consultation is not implemented, nor are outcomes known, this Indicator is designated as specified

risk on Crown licenses. First Nations have asserted Aboriginal title rights on industrial private land, and the matter is before the courts. Due to a lack of evidence to support implementation mechanisms, a monitoring framework and/or results of current condition verifying consultation/accommodation are in place, a precautionary approach is applied. As such, this Indicator is designated as specified risk for for industrial private land in New Brunswick. First Nations have not asserted tenure and land use rights on private woodlots and there are no requirements for consultation / accommodation. As such, this Indicator is designated as low risk for private woodlots in New Brunswick.

Detailed description of a mitigation measure

A dispute resolution process is established specifically to address issues arising from violations of the right of Indigenous Peoples related to forest management activities

Detailed description of CB evaluation of mitigation measure and its conclusions

Control measure adequate and implemented

Country

United States

Area/Sub-Scope

US Private Forest

Indicator

2.1.3 Key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity in the Supply Base shall be maintained or enhanced.

Specified risk description

This analysis indicates the ESA is a highly efficient system for the maintenance and enhancement of Federally listed threatened and endangered animal species. However, there is specified risk for the following due to lack of regulatory safeguards and/or resources/incentives for maintenance and enhancement of the following: - Nature Serve Network identified G1-G2 animal species not on the ESA threatened or endangered list, G1-G2 plant species (Figure 2.1.3.-1), and - FSC US NRA identified species, ecosystems, and HCVs (Figure 2.1.3.-2)

Detailed description of a mitigation measure

Implementation of a Due Diligence System that includes the identification and mapping of the 6 categories of HCVs potentially found on the wood procurement territory. Due Diligence System subject to annual review

Detailed description of CB evaluation of mitigation measure and its conclusions

Mitigation measures are adequate and implemented

Country

United States

Area/Sub-Scope

US Private Forest

Indicator

2.2.1 Feedstock shall not be sourced from land that had one of the following statuses in January 2008 and no longer has that status due to land conversion: a. Forests b. Wetlands c. Peatlands d. Highly biodiverse grasslands.
Specified risk description
Forests: While there is consensus that deforestation rates are quite low in the US and for the RRA geography, analysis of FIA for the given time period indicates net loss of timberland for the RRA geography of less than 1% (0.75%) with localized losses and gains. Similarly, using methodology based on population growth and issuance of housing permits, which is not based on change detection analysis of the forest itself, the FSC US NRA specified risk for a set of counties within the RRA's geography. Despite relatively low rates of forest loss, with recognition to the precedence of the FSC US NRA and the results of original analysis for the RRA's geography, risk is specified as it relates to forests in this Indicator (See Figure 2.2.1.-1 and Table 2.2.1.-1).
Detailed description of a mitigation measure
No procurement from areas identified with specified risk
Detailed description of CB evaluation of mitigation measure and its conclusions
No procurement from areas identified with specified risk

Country
Canada
Area/Sub-Scope
Nova Scotia
Indicator
2.1.3 Key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity in the Supply Base shall be maintained or enhanced.
Specified risk description
Crown Licence The Crown licensees' FMP, and subsequent operational plans are the primary implementation mechanism of legislation related the management and maintenance of biodiversity values. Government programs provide oversight and monitor regulatory planning and practices for biodiversity values. There is no indication of widespread or systemic legal non-compliance. However, government oversight is focused on legal compliance with legislation for biodiversity values but not on sufficiency relative to the maintenance and enhancement of biodiversity values. This reinforces uncertainty with respect to sufficiency/uniformity of implementation across diverse Crown licences. The Nova Scotia government and other organisations have, and continue to, assess the maintenance and enhancement of landscape-level and stand-level biodiversity values. There are many assessments and reports covering different spatial and temporal scales. The results of this data, assessments, and reports are wide and varied, making it difficult to provide a definitive conclusion. Based on the extensive results, this risk assessment is unable to draw a sufficiency conclusion on the maintenance or enhancement of specific biodiversity values. This risk assessment does identify areas of uncertainty. In the evaluation of Crown land, the most evident areas of uncertainty for the maintenance of biodiversity values are related the following: • SAR recovery plans and critical habitat for identified species-at-risk are at various stages of completion; and • RTE ecosystems are not recognised at the stand level. Based on evidence reviewed and applying the precautionary approach, this Indicator is designated as specified risk for Crown licences in Nova Scotia. Industrial Private Land and Private Woodlots There is an extensive federal and provincial regulatory framework governing biodiversity values. On industrial private land and private woodlots, due to a lack of evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of the maintenance of landscape- level and stand-

level biodiversity values, and a precautionary approach is applied. As such, this Indicator is designated as specified risk (S) for industrial private land and private woodlots in Nova Scotia, low risk elsewhere (L).
Detailed description of a mitigation measure
Field assessments validating key species, habitats, ecosystems, and areas of high conservation value (HCV) pertaining to biodiversity are maintained or enhanced.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.1 Feedstock shall not be sourced from land that had one of the following statuses in January 2008 and no longer has that status due to land conversion: a. Forests b. Wetlands c. Peatlands d. Highly biodiverse grasslands.
Specified risk description
Industrial Private Land and Private Woodlots Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework, and results of current condition of deforestation, a precautionary approach is applied. As such, this Indicator is designated as specified risk on industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.2 Ecosystems, their health, vitality, functions and services in the Supply Base shall be maintained or enhanced.
Specified risk description
Two of the six Indicators which detail the functions and services that must be maintained to have a healthy and vital ecosystem, (2.2.3 – Soil Quality Maintained or Enhanced and 2.2.5 Water

Quality/Quantity Maintained) have a specified risk designation for industrial private land and private woodlots in Nova Scotia. As such, this Indicator also has a specified risk designation for industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Fields assessment to verify that ecosystems, their health, vitality, functions and services in the Supply Base are maintained of enhanced on Industrial Private and/or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.3 Soil quality in the Supply Base shall be maintained or enhanced
Specified risk description
ndustrial Private Land Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results of current condition of soil quality, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in Nova Scotia. Private Woodlots Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/
Detailed description of a mitigation measure
Fields assessment to verify that measures have been implemented to ensure soil protection in Industrial Private and/or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.4 Where the removal of harvest forest residues and/or stumps occurs, this shall not lead to irreversible negative impacts to the ecosystem.
Specified risk description
Industrial Private Land, and Private Woodlots There is no regulatory requirements regarding the removal of harvest residues on industrial private land and private woodlots. . As such, this Indicator

is designated as specified risk for industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.5 Quality and quantity of ground water, surface water and water downstream shall be maintained or enhanced.
Specified risk description
Industrial Private Land and Private Woodlots On industrial private land and private woodlots, the federal and provincial legislation related to the maintenance of water quality and quantity is comprehensive. There is no publicly available results to verify compliance with WHWPR, or the Environment Act and Activities Designation Regulations. There is insufficient evidence of guidance or monitoring results to verify current condition of water quality or quantity, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land and private woodlots in Nova Scotia
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
2.2.7 Pesticides shall only be used as part of an Integrated Pest Management (IPM) plan in compliance with national legislation, chemical safety data sheets and industry best practice. Banned pesticides shall not be used.
Specified risk description

There is publicly available information regarding all approved pesticide applications on the DECC website, but there is no publicly available reporting on monitoring or enforcement of pesticide use. As a result, a precautionary approach is applied and this Indicator is designated as specified risk for industrial private land and private woodlots in Nova Scotia.

Detailed description of a mitigation measure

Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.

Detailed description of CB evaluation of mitigation measure and its conclusions

Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlot
Indicator
2.2.8 Waste shall be disposed of in an environmentally appropriate manner.
Specified risk description
Industrial Private Land & Private Woodlots The provincial legislation related to the waste management is comprehensive. Due to a lack of evidence to implementation mechanisms and/or results to verify current condition of waste management, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land, and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Fields assessment to verify that waste is disposed of in an environmentally appropriate manner on Industrial Private and/or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Private Woodlot
Indicator
2.2.10 Harvested areas shall be regenerated
Specified risk description
There is evidence that regeneration activities are completed on private woodlots that participate in the Private Woodlots Silviculture Program, however, the level of participation is not being applied consistently across private woodlots. Most private woodlot areas harvested are naturally

regenerated, and there is no systematic survey of regeneration that would attest to the adequacy of stocking. Due to a lack of results, a precautionary approach is applied and this Indicator has been designated as specified risk for private woodlots
Detailed description of a mitigation measure
Field assessments to obtain evidence of the implementation of sound forest management practices to regenerate sites harvested on Industrial Private or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlot
Indicator
3.2.2 Primary feedstock shall not be sourced from forest areas where site productivity is low and, according to local definitions or norms, the areas are classified as low-productive or difficult to regenerate.
Specified risk description
Industrial Private Land and Private Woodlots Due to a lack of a regulatory framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of timber harvesting from low-productive or difficult to regenerate sites, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Field assessments to confirm that the primary supply does not come from sites sensitive to nutrient loss harvested in final cut without redistribution of branches and tops within the cutting area in Industrial Private or Private Woodlots.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and implemented

Country
Canada
Area/Sub-Scope
Nova Scotia
Indicator
3.2.3 feedstock shall not be sourced from forest areas in the Supply Base which, according to local definitions or norms, are classified as having combined attributes of high carbon stocks and high conservation value (HCV).

Specified risk description
The federal and provincial regulatory framework governing the management and maintenance of biodiversity values is extensive (Details provided in Indicator 2.1.3 Key Eco/HCV Maintained or Enhanced). There is no regulatory framework specific to the management of high carbon stocks. A specified risk designation was applied to Indicator 2.1.3 Key Eco/HCV Maintained or Enhanced for Crown licence, industrial private land and private woodlots. Additionally, there is a lack of evidence to support implementation mechanisms, an oversight/monitoring framework, and/or results to verify the identification and the subsequent avoidance of harvesting of primary feedstock from forests that have combined attributes of high carbon stocks and high conservation values on Crown licence, industrial private land and private woodlots. Given the lack of evidence, a precautionary approach is applied. As such, this Indicator is designated as specified risk for Crown licence, industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Field assessments to confirm that primary feedstock is not sourced from forest areas which are classified as having combined attributes of high carbon stocks and high conservation values (according to local definitions or norms).
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia
Indicator
4.1.8 Training shall be provided for all workers to allow them to implement the conditions set out in all elements of the SBP Standards relevant to their responsibilities.
Specified risk description
At the professional level, mandatory requirements are set for standards of conduct, continuing forestry education development, and annual competence assessments. RPFAN's regulatory framework ensures the ongoing training and competence of forest professionals registered to practice in NS. At the forest worker level, due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify training for forest workers related to roles and responsibilities, a precautionary approach is applied. As such, this Indicator is designated as specified risk at the provincial level Nova Scotia
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country

Canada
Area/Sub-Scope
Nova Scotia-Private Woodlots
Indicator
4.1.10 Safeguards shall be put in place to protect the health and safety of workers by developing, communicating and implementing policies and procedures.
Specified risk description
As private woodlots in NS are owned by individuals or small businesses, there is no requirement to be WorkSafeBC registered. Due to a lack of evidence to support implementation mechanisms, a monitoring framework and/or results to verify safeguards are in place to protect the health and safety of workers, this Indicator is designated as specified risk for other private land in Nova Scotia.
Detailed description of a mitigation measure
Field assessments. Ensuring safeguards are put in place to protect the health and safety of workers through the development, communication and implementation of policies and procedures.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
4.2.1 Negative social and community impacts shall be identified and avoided.
Specified risk description
Industrial Private Land Due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of negative social and community impacts, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land in Nova Scotia. Private Woodlots Due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results to verify the identification and avoidance of negative social and community impacts, a precautionary approach is applied. As such, this Indicator is designated as specified risk for private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Field assessments. Verification for evidence of identification and avoidance of negative social and community impacts.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
4.2.3 Food, water supply or high conservation values (HCV) that are essential for the fulfilment of basic needs of communities shall be maintained or enhanced
Specified risk description
There are provincial legislative requirements on industrial private land and private woodlots for the protection of human drinking water. There is no publicly available information on management guidance or monitoring to determine if forest operations negatively impact Protected Water Areas or designated watersheds. There is no publicly available information to indicate compliance with WHWPR, or the Environment Act. Based on the lack of information, a precautionary approach is applied, and this Indicator is designated specified risk for industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
Monitoring of field assessment reports by the procurement staff and conclusions ensuring low risk.
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented

Country
Canada
Area/Sub-Scope
Nova Scotia-Industrial Private, Private Woodlots
Indicator
4.2.6 Where Indigenous Peoples' rights are identified in the Supply Base, and Free Prior and Informed Consent (FPIC) has not been achieved for the proposed and planned activities, a consultation and, if required, accommodation process shall be put in place.
Specified risk description
Due to a lack of a legislative framework, evidence to support implementation mechanisms, a monitoring framework and/or results of current condition verifying consultation/accommodation with the Mi'kmaq are in place, a precautionary approach is applied. As such, this Indicator is designated as specified risk for industrial private land and private woodlots in Nova Scotia.
Detailed description of a mitigation measure
A dispute resolution process is established specifically to address issues arising from violations of the right of Indigenous Peoples related to forest management activities
Detailed description of CB evaluation of mitigation measure and its conclusions
Adequate and Implemented