



NEPCon Evaluation of Limited Liability Company “GantsevichiDrevStroy” Compliance with the SBP Framework: Public Summary Report

Third Surveillance Audit

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Completed in accordance with the CB Public Summary Report Template Version 1.4

*For further information on the SBP Framework and to view the full set of documentation see
www.sbp-cert.org*

Document history

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1 Overview

CB Name and contact:	NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia
Primary contact for SBP:	Ondrej Tarabus ot@nepcon.org, +34 605 638 383
Current report completion date:	17/Dec/2020
Report authors:	Aliaksandr Zubkevich
Name of the Company:	Limited Liability Company "GantsevichiDrevStroy"
Company contact for SBP:	Shulga Alexander Vasilievich, director +375164660208, email: gancevichidrev@mail.ru
Certified Supply Base:	Sourcing from Republic of Belarus
SBP Certificate Code:	SBP -01-90
Date of certificate issue:	12/Jan/2018
Date of certificate expiry:	11/Jan/2023

This report relates to the Third Surveillance Audit

2 Scope of the evaluation and SBP certificate

Scope of the certificate includes trading of wood pellets for use in energy production, including transport from different Biomass Producers in Belarus to different end points at the Belarus border.

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Producer's/trader management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the the biomass trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients;
- Review of the transportation, storage and deliverance processes.

The BP started from April 2020 work as a trader only.

4 SBP Standards utilised

4.1 SBP Standards utilised

Please select all SBP Standards used during this evaluation. All Standards can be accessed and downloaded from <https://sbp-cert.org/documents/standards-documents/standards>

- ☐ SBP Framework Standard 1: Feedstock Compliance Standard (Version 1.0, 26 March 2015)
- ☐ SBP Framework Standard 2: Verification of SBP-compliant Feedstock (Version 1.0, 26 March 2015)
- ☒ SBP Framework Standard 4: Chain of Custody (Version 1.0, 26 March 2015)
- ☒ SBP Framework Standard 5: Collection and Communication of Data (Version 1.0, 26 March 2015)

4.2 SBP-endorsed Regional Risk Assessment

Not applicable

5 Description of Company, Supply Base and Forest Management

5.1 Description of Company

Limited Liability Company “GantsevichiDrevStroy” was working as biomass producer until April 2020, later – only as a trader.

BP was sourcing secondary feedstock for biomass production coming from its own primary production as well as from two other sawmills located in Belarus and owned by FMUs. Starting from April 2020 the company are working only as a trader.

All incoming feedstock used for biomass production was FSC certified. Documents confirming origin of feedstock at FMU level (forestry) is available with all delivery documents.

The organization is implementing FSC transfer system. Biomass may be delivered by rail to different endpoints at the border of the Republic of Belarus, on DAP delivery conditions. FCA delivery conditions are also possible. All end points are covered with the supplier’s SBP certificate scope.

5.2 Description of Company’s Supply Base

Not applicable

5.3 Detailed description of Supply Base

Not applicable.

5.4 Chain of Custody system

The Organisation holds valid FSC COC certificate

(<http://info.fsc.org/details.php?id=a023300000Z94E8AAJ&type=certificate&return=certificate.php>) certificates covering trade with biomass (wood pellets) with/without physical possession.

Critical control points of the FSC CoC system were evaluated also during SBP audit.

The Organisation has implemented FSC transfer system. The input material used by the Organisation until April 2020 for biomass production contains secondary feedstock (sawdust). Until April 2020 most of the material comes as wood industry residues from own sawmill, some part of sawdust organization buys from other 2 sawmills but this is also FSC certified. Started from April 2020 the company works only as a trader.

6 Evaluation process

6.1 Timing of evaluation activities

On-site audit was conducted on September 18, 2020 (app 4 working hours). Audit activities included documents review as well as staff interview.

Activity	Location	Date/time
Opening meeting	Company office	18/09/2020 09.00-09.30
Documents and procedures review (feedstock inputs, CoC control system and critical points, compliance with legal requirements, H&S). Staff interview, DTS.	Company office	18/09/2020 09.30-14.00
Closing meeting	Company office	18/09/2020 14.00-14.30

6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Aliaksandr Zubkevich Lead auditor Evaluation against all applicable requirements	Mr Aliaksandr Zubkevich has education of engineer-economist in timber industry. He had postgraduate study at the Belarusian State Technological University. A. Zubkevich has passed FSC CoC/ FM lead auditor training course, Legal Source, ISO 14001 and SBP training coursed. Previous experience in woodworking industry and SBP pre-assessment and assessments in Belarus.

The evaluation was focused on management system evaluation: division of the responsibilities, document and system, input material classification (reception and registration), analysis of the existing FSC system and its control points.

Description of the audit evaluation:

All SBP related documentation connected to the SBP as well as FSC CoC system of the Trader, including SBP Procedure and FSC system description was provided by the company during the audit, which started with an opening meeting attended by the SBP responsible person.

Audit team leader introduced himself, provided information about audit plan, methodology, auditor qualification, confidentiality issues, and assessment methodology and clarified certification scope. During the opening meeting the audit team leader explained the CB's approval related issues.

After that auditor went through all applicable requirements of the SBP standards 4, 5 and instruction document 5E covering input clarification, existing chain of custody system, management system, recordkeeping requirements, categorisation of input and verification of SBP-compliant biomass. During the process, overall responsible person for SBP system and other staff were interviewed. Also, FSC system critical control points were analysed. The company was working as biomass producer from last annual audit until April 2020. The data about feedstock input, suppliers and DTS were verified.

At the end of the audit, findings were summarised, and audit conclusions based on use of 3 angle evaluation method were provided to the SBP responsible person.

Impartiality commitment: NEPCon commits to using impartial auditors and our clients are encouraged to inform NEPCon management if violations of this are noted. Please see our Impartiality Policy here: <http://www.nepcon.org/impartiality-policy>.

6.3 Process for consultation with stakeholders

No Consultation was conducted for this surveillance audit and no comments were received during the audit period

7 Results

7.1 Main strengths and weaknesses

Strength: use of the FSC transfer system; small number of the management staff and clearly designated responsibilities within the staff members.

Weaknesses: Not identified

7.2 Rigour of Supply Base Evaluation

Not applicable

7.3 Collection and Communication of Data

The company is a trader with/without physical possession. In the period from April 2020 to audit date the company was working as a trader without physical possession. The Trader does not complete SREG since all end points are covered with the supplier's SBP certificate scope and SAR. The Trader commits to provide its suppliers' SARs to the SBP certified customers upon request.

7.4 Competency of involved personnel

Overall, Trader's staff showed good understanding of knowledge of all applicable SBP requirements. Generally, only the following staff members are involved into SBP certification:

- Director (anti-bribery policy and code of conduct, EUTR requirements, Chain of custody, appointment of SBP responsible, H&S implementation, trade and tax legislation, SBP procedures and systems updates, suppliers' evaluation, tendering, trademarks, staff training, complaints and other);
- accountant (accounting, trade and tax legislation).

7.5 Stakeholder feedback

No stakeholder comments were received

7.6 Preconditions

No preconditions to this certification were identified at the time of this surveillance audit.

8 Review of Company's Risk Assessments

Describe how the Certification Body assessed risk for the Indicators. Summarise the CB's final risk ratings in Table 1, together with the Company's final risk ratings. Default for each indicator is 'Low', click on the rating to change. Note: this summary should show the risk ratings before AND after the SVP has been performed and after any mitigation measures have been implemented.

Not applicable

9 Review of Company's mitigation measures

Not applicable

10 Non-conformities and observations

Identify all non-conformities and observations raised/closed during the evaluation (a tabular format below may be used here). Please use as many copies of the table as needed. For each, give details to include at least the following:

- *applicable requirement(s)*
- *grading of the non-conformity (major or minor) or observation with supporting rationale*
- *timeframe for resolution of the non-conformity*
- *a statement as to whether the non-conformity is likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks.*

No

11 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:

Certification decision:	Certification approved
Certification decision by (name of the person):	Olesja Puiso
Date of decision:	17/Dec/2020
Other comments:	<i>Click or tap here to enter text.</i>