

NEPCon Evaluation of Nordplit Compliance with the SBP Framework: Public Summary Report

www.sustainablebiomasspartnership.org



Completed in accordance with the CB Public Summary Report Template Version 1.0

*For further information on the SBP Framework and to view the full set of documentation see
www.sustainablebiomasspartnership.org*

Document history

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1 Overview

CB Name and contact: NEPCon OÜ, Filosoofi 31, 50108 Tartu, Estonia]

Primary contact for SBP: Ondrej Tarabus ot@nepcon.net, +420 606 730 382

Report completion date: 30/Jun/2016

Report authors: Nikolai Tochilov

Certificate Holder: Public corporation plywood industrial complex “Nordplit”. Russia 606900
Nizhegorodskiy region, Shahunskiy district, Vahtan, Komarova street, 28-B

Producer contact for SBP: Andrey Zatey, director. Mobile: +7 910 790 80 50, E-mail: andrey@rosplit.ru

Certified Supply Base: Sourcing from Russia, Nizhegorodskiy region

SBP Certificate Code: SBP-01-29

Date of certificate issue: 19/Aug/2016

Date of certificate expiry: 18/Aug/2021

Indicate where the current audit fits within the certification cycle				
Main (Initial) Audit	First Surveillance Audit	Second Surveillance Audit	Third Surveillance Audit	Fourth Surveillance Audit
X	☐	☐	☐	☐

2 Scope of the evaluation and SBP certificate

The certificate scope covers the production site and office in Vahtan settlement, Shahunskiy district of Nizhegorodskiy region.

The BP holds valid FSC Chain of Custody certificate, covering pellet production.

The input material used by the BP for biomass production (both as raw material for pellet production and feedstock used into dryer) contains secondary feedstock (wood wastes) coming from BP's plywood mill allocated at the same production site.

At the moment of assessment, no FSC/PEFC certified feedstock inputs are delivered to BP. However, after this assessment, BP's affiliate company Lestrans LLC has got FSC FM/COC certificate and started supplying to BP FSC 100% feedstock only. Origin of the feedstock is Nizhegorodskiy region of Russia. Point of sale of the final product is Saint-Petersburg harbour.

Supply Base Evaluation is not included into the scope of the evaluation.

Scope of the evaluation is indicated in the table below:

Scope Item	Check all that apply to the Certificate Scope				Change in Scope (N/A for Assessments)
Approved Standards:	SBP Standard #2 V1.0 SBP Standard #4 V1.0 SBP Standard #5 V1.0 http://www.sustainablebiomasspartnership.org/documents				☐
Primary Activity:	Pellet producer				☐
Input Material Categories:	☐ SBP-Compliant Primary Feedstock		☒ SBP-Compliant Secondary Feedstock		☐
	☐ Controlled Feedstock		☐ SBP non-Compliant Feedstock		
	☐ SBP-Compliant Tertiary biomass	☐ Post-consumer Tertiary Feedstock			
	☐ SBP-approved Recycled Claim	☐ Post-consumer Tertiary Feedstock			
	☒ FSC	☐ PEFC	☐ SFI	☐ GGL	☐

Chain of custody system implemented:	☒ Transfer	☐ Percentage	☐ Credit	☐
Points of sales	☐ Harbour (including own handling of material)	☐ Harbour (e.g. FOB incoterms) legal owner is not responsible for handling of material at the harbor	☒ Other point of sale (e.g. gate of the BP, boarder, railway station etc.)	☐
Provide name of all points of sales	-	-	S.Petersburg (harbour)	
Use of SBP claim:	☒ Yes		☐ No	☒
SBE Verification Program:	☐ Low risk sources only	☐ Sources with unspecified/ specified risk		☐
	New districts approved for SBP-Compliant inputs: SBE not applicable			
Sub-scopes	-			☐
Specify SBP Product Groups added or removed:				
Comments:				

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Producer's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the BP's management procedures;
- Review of the production processes, production site visit;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records, calculations and conversion coefficients; and
- GHG data collection analysis.

4 SBP Standards utilised

4.1 SBP Standards utilised

Verification of SBP-compliant Feedstock, SBP Standard 2, Version 1.0, March 2015

Chain of Custody, SBP Standard 4, Version 1.0, March 2015

Collection and Communication of Data, SBP Standard 5, Version 1.0, March 2015

Instruction document 5A Collection and Communication of Data version 1.0. March 2015 was utilised for the evaluation as well.

<http://www.sustainablebiomasspartnership.org/documents>

4.2 SBP-endorsed Regional Risk Assessment

Not applicable.

5 Description of Biomass Producer, Supply Base and Forest Management

5.1 Description of Biomass Producer

BP is a pellet producer located in Shahunskiy district of Nizhegorodskiy region. BP plans to produce about 30 000 tones of wood pellets annually. Incoming feedstock is wood wastes from BP's plywood production located at the same production site. Final product is transported in big bags by trucks to Shahunya railway station and then by railway to S.Petersburg sea port. At the moment of assessment, no FSC/PEFC certified feedstock inputs are delivered to BP. However, after this assessment BP's affiliate company Lestrans LLC has got FSC FM/COC certificate and started supplying to BP the FSC 100% feedstock only.

The BP has implemented FSC transfer system and all amount of produced biomass shall be then sold with FSC 100% claim (SBP-compliant biomass).

The pellets are transported to Saint Petersburg harbour where the biomass is taken into possession by new owner.

5.2 Description of Biomass Producer's Supply Base

In accordance with the legislation of the Russian Federation all forests are state-owned. The main form of use is lease.

The territory of the forests in Nizhegorodskiy region is 3,440.3 thousand ha, where approximately the half of which is occupied by coniferous (47.7%), where 81.3% - pine forests, 18.5% - spruce forests. 49.8 percent of the total forest area are occupied by deciduous forests, where 75.6 % - birch forests, 16.9 % – aspen forests, 3.3% - linden forests, and 3.4% - alder forests.

The total volume of growing timber is 556.85 million m³. The overall mean increment is 12.73 million m³ per year and annual allowable cut is harvested on 37,2%

One of the forest concession holders in the Nizhegorodskiy region and the largest forest concession holders in Tonshaevo and Shakhunya districts is Lestrans LLC – feedstock supplier for BP.

Lestrans LLC has concluded 2 long-term lease (concession) agreements (for the period of 49 years) and carries out timber harvesting by own efforts and fulfills all of forest management activities specified in the forest management plans (measures for forest protection against fires and illegal felling, forest protection and regeneration, thinning), carries out maintenance of forestry roads.

Brief information of the leased forest management units:

Lease agreement No.3 dated 10.12.2007

Total area of 108 001 ha, the FMU is located within the borders of Burepolom, Verkhnyaya Unzha, Pizhma, Shakhunya and Khmelevitsa forestry departments of the Nizhegorodskiy region.

Broadleaved species prevail (66944 ha or 67.5%), where soft-wooded broadleaved forests take 66929.1 ha, hard-wooded broadleaved forests occupy 14.9 ha.

The area of coniferous forests makes 32265.6 ha, where pine forests occupy 20872.9 ha, spruce forests occupy 11307.9 ha, fir forests – 70.3 ha, larch forests – 14.5 ha.

The average forest yield within the borders of the lease timber land is 172 m³/ha.

Lease agreement No.73 dated 25.06.2008

Total area of 24 134 ha is located within the borders of Pizhma, Burepolom, and Verkhnyaya Unzha forestry departments of the Nizhegorodskiy region.

Broadleaved species prevail (23530.6 ha or 97.5%). The area of coniferous forests is 603.4 ha. The average age of the forests is 54 years. The average site index (bonitet) is equal to 1.4.

In December 2015, Lestrans LLC passed the forest management certification assessment against the Russian National FSC standard (FSC-STD-RUS-V 6-1-2012).

In the course of preparation for the certification Lestrans LLC has carried out the evaluation of the impact of economic activities (environmental impact assessment) on the air, water and soil resources, flora and fauna, endangered species of plants and animals, high conservation value forests, and representative samples of ecosystems, social sphere, analyzed the lease area for the applicability of international conventions and bilateral agreements in the field of nature conservation (CITES Convention, Convention on Biological Diversity, the Ramsar Convention, the Convention for the protection of the World cultural and natural heritage, bilateral agreements on the nature protection), justified the sustainability of the annual allowable cut in long term prospect. The company allotted 30% of the certified area for HC VF and excluded from the forest exploitation (special protected natural areas, special protected areas, water protection zones, representative sites, social HC VF). There are no virgin forests, no indigenous native minorities within certified territory, the company does not harvest the species, falling within the scope of CITES Convention and included in the IUCN lists. The company has no debt on tax and lease payments.

Thus, the feedstock for the manufacture of SBP products by LLC Plywood Factory "Nordplit" is wood harvested legally in compliance with social, environmental and economic standards of forest management.

At the moment (the reporting period from 01.09.2015 till 01.12.2015) all the feedstock supplied to BP and used for production of biofuel, has not been certified.

Social and economic conditions in the districts where the leased forest areas are located.

On the territory of Tonshaevo and Shakhunya districts there are industrial and agricultural enterprises. The main specialization of the agricultural companies is livestock production, which accounts for about 70% of gross agricultural output.

The abundance of forests on the territory of these districts enables the development of forestry and wood-chemical complex.

Detailed information about the supply base region (general description of the forest resources and forest management practices within the Supply Base) is publically available at the BP's homepage:

<http://rosplit.ru/docs/supply-base-eng.pdf>

<http://rosplit.ru/docs/supply-base-rus.pdf>

5.3 Detailed description of Supply Base

Total Supply Base area (ha):	132 116 ha
Tenure by type (ha):	100% state owned, 100% private management
Forest by type (ha):	Boreal 132 116 ha
Forest by management type (ha):	100% Natural
Certified forest by scheme (ha):	132 116 ha (after supplier gets FSC certificate)

5.4 Chain of Custody system

At the moment of SBP evaluation BP was not FSC/PEFC certified, and passed FSC CoC assessment at the same time with SBP evaluation. Currently BP holds valid FSC CoC certificate and uses transfer system of FSC claims, producing FSC 100% plywood and pellets only. BP has the only one supplier of round wood (Lestrans LLC) who is FSC certified. All incoming round wood has FSC 100% claim. No non-certified feedstock purchased by now. In the reporting period all feedstock was non-certified.

The round wood (birch plywood logs) is delivered to organisation's log yard and measured there. Staff checks that the waybills from the only one supplier Lestrans LLC contain FSC certificate code and claim. Measured volume is registered in log and forwarded to accountancy department. The round wood then is processed at plywood mill. Wood industry residues (secondary feedstock) are used in pellet production which is located at the same production site (directly for pellet production and for dryer). Conversion factors for veneer and pellets are established based on actual production volumes for a certain period and are a subject for regular revision and adjustment. Final product (pellets) is packed in big bags and transported by truck to nearest railway station, where it is loaded to railway wagons and transported to S.Petersburg sea port. Terms of delivery (Incoterms 2010) – railway, FCA, S.Petersburg port. All product has FSC 100% claim (equal to SBP-compliant biomass).

6 Evaluation process

6.1 Timing of evaluation activities

Onsite assessment was conducted on December 22-23, 2015 (16h). Assessment activities included documents review at office, inspection of production facilities and staff interviews.

Activity	Location	Date/time
Opening meeting*	Office	22/12/2015 09.00-09.30
Documents and procedures review. Inputs review	Office	22/12/2015 09:30-12.15
Break		22/12/2015 12:15-13:00
GHG calculation review	Office	22/12/2015 13:00-17:00
Presentation of the results of the first day of assessment	Office	22/12/2015 17:00-17:30
Opening meeting	Office	23/12/2015 08:00-08:15
Chain of custody review (site tour); interview with the chief of pellet production	Production facilities	23/12/2015 8:15 – 10:00
Interview with chief accountant	Accounting department	23/12/2015 10:00-10:30
Documents and procedures review; staff interview.	Office	23/12/2015 10:30 – 16:00

Closing meeting*	Office	23/12/2015 16:00 – 17:00
End of the evaluation		23/12/2015 17:00

6.2 Description of evaluation activities

Composition of audit team:

Auditor(s), roles	Qualifications
Nikolai Tochilov	NEPCon SBP lead auditor. He passed SBP auditor training in Tallinn in January 2015 and previous experience with SBP pre-assessment and SBP assessments in Russia.

The assessment visit was focused on management system evaluation: division of the responsibilities, document and system, input material classification (reception and registration), analysis of the existing FSC system and FSC system control points as well as GHG data availability.

Description of the assessment evaluation:

All SBP related documentation connected to the SBP as well as FSC CoC system of the organisation, including SBP Procedure, GHG data calculations/ data sheet, Supply Base Report and FSC system description was provided by the company prior to the assessment. Audit started with an opening meeting attended by the SBP responsible person (director) of the organization.

Auditor introduced himself, provided information about audit plan, methodology, auditor qualification, confidentiality issues, and assessment methodology and clarified certification scope. During the opening meeting the auditor explained CB's approval related issues.

After that auditor went through all applicable requirements of the SBP standards nr.2, 4, 5 and instruction document 5a covering input clarification, existing chain of custody system, management system, CoC, recordkeeping/mass balance requirements, emission and energy data and categorisation of input and verification of SBP compliant biomass. During the process overall responsible person for SBP system, Director and accountant were interviewed.

After a roundtrip around BP's pellet production was undertaken. During the site tour, reception process was observed, applicable records were reviewed, pellet production chief was interviewed and FSC system critical control points were analysed.

At the end of the audit finding were summarised and audit conclusion based on use of 3 angle evaluation method were provided to the director.

6.3 Process for consultation with stakeholders

The stakeholder consultation was carried out one month prior to SBP assessment by sending direct email to different stakeholder categories. The announcement was also published at NEPCon homepage <http://www.nepcon.net/ru/%D0%BD%D0%BE%D0%B2%D0%BE%D1%81%D1%82%D0%B8/%D0%BF%D1%83%D0%B1%D0%BB%D0%B8%D1%87%D0%BD%D0%BE%D0%B5-%D1%83%D0%B2%D0%B5%D0%B4%D0%BE%D0%BC%D0%BB%D0%B5%D0%BD%D0%B8%D0%B5-23112015>

No comments from the stakeholders were received.

7 Results

7.1 Main strengths and weaknesses

Strength: Use of the FSC transfer system. Effective recordkeeping system. Small number of the management staff and clearly designated responsibilities within the staff members. Potential use of own production waste (secondary feedstock) with FSC 100% claim only.

Weaknesses: some misinterpretations in the requirements of Instruction 5A occurred during BP preparation for SBP assessment. See also list of NCR below in the report.

7.2 Rigour of Supply Base Evaluation

Not applicable.

7.3 Compilation of data on Greenhouse Gas emissions

The BP has involved external consultant who helped with implementation of the system for collection of the emission and energy data. Some of the energy use data is based on actual information, whereas calculation of some data was conducted with implementation of theoretical approaches.

7.4 Competency of involved personnel

The SBP responsible person in the company's director. He is supported by external consultant who was closely involved in preparation of internal procedures and helping to set up the management system. The BP personnel has shown good understanding of the requirements in relation to SBP certification and FSC CoC system.

7.5 Stakeholder feedback

No stakeholder comments are received.

7.6 Preconditions

None.

8 Review of Biomass Producer's Risk Assessments

Not applicable.

9 Review of Biomass Producer's mitigation measures

Not applicable.

10 Non-conformities and observations

NCR: 01/16	NC Classification: minor
Standard & Requirement:	SBP Standard # 2, requirement 7.3, requirement 2C 4.1
Description of Non-conformance and Related Evidence:	
BP used the previous version of Supply Base Report template when preparing to SBP assessment. During assessment report preparation, new version of Supply Base Report (version 1.1) have been developed by SBP. Организация использовала предыдущую форму отчета о ресурсной базе при подготовке к оценке SBP. Во время подготовки отчета об оценке SBP была разработана новая версия (1.1) отчета о ресурсной базе.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 02/16	NC Classification: minor	
Standard & Requirement:	SBP Standard # 2, requirement 2C, 4.1	
Description of Non-conformance and Related Evidence:		
The total area of supply base in Supply Base Report, section 2.5, is specified as 132135 ha, whereas in FSC database the total area for Lestrans FSC FM/COC certificate http://info.fsc.org/details.php?id=a023300000WmcOcAAJ&type=certificate&return=certificate.php is 132116 ha. Section "Actions to promote certification amongst feedstock supplier" (2.2) in Russian version is not numbered. Section 3 "Requirement of a Supply Base Evaluation" does not include explanation why SBE was not completed and why it was not applicable. Общая площадь ресурсной базы, указанная в Отчете о ресурсной базе, составляет 132135 га. В то же время, в публичной база данных сертификатов FSC для ООО «Лестранс» http://info.fsc.org/details.php?id=a023300000WmcOcAAJ&type=certificate&return=certificate.php указана площадь 132116 га. Раздел «Предпринятые действия для продвижения сертификации среди поставщиков сырья» (2.2) не пронумерован в русской версии отчета. Раздел 3 "Требования к оценке ресурсной базе" не включает объяснения, почему оценка ресурсной базы не была сделана, и почему она не применима.		
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.	
Timeline for Conformance:	By the next surveillance audit	
Evidence Provided by Organisation:	PENDING	
Findings for Evaluation of Evidence:	PENDING	
NCR Status:	OPEN	
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?		Yes ☒ No ☐

NCR: 03/16	NC Classification: minor
Standard & Requirement:	SBP Standard #2, requirement 15.7
Description of Non-conformance and Related Evidence:	
BP explained that the personnel will be informed about the changes shortly after any changes to management systems. However, SBP procedure does not contain any specific deadlines, within which the personnel shall be informed about the changes. Организация объяснила, что задействованный персонал будет проинформирован о любых изменениях в системах управления и контроля SBP сразу же после внесения таких изменений. Однако процедура SBP не указывает конкретные сроки, в рамках которых персонал должен быть проинформирован об изменениях.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	Yes ☐ No ☒

NCR: 04/16	NC Classification: minor
Standard & Requirement:	SBP Standard #2, requirement 20.1, Standard #4, requirement 6.4.1
Description of Non-conformance and Related Evidence:	
Procedure of addressing comments and complaints is described in Section 2.2.7 of the SBP procedure. It states, that if the complaint is considered as justified, relevant changes are done in management systems and complainant is informed about that. However, SBP procedure does not require informing complainant about the results of complaint resolution process, when complaint is considered as non-justified. Процесс рассмотрения жалоб и комментариев описан в разделе 2.2.7 Процедуры SBP. В нем указано, что в случае, если жалоба признана обоснованной, в системах управления делаются соответствующие изменения, а заинтересованная сторона информируется о них. Процедура SBP, тем не менее, не требует информировать заинтересованную сторону о результатах рассмотрения жалобы, если она признана необоснованной.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 05/16	NC Classification: minor
Standard & Requirement:	SBP Instruction #5A, requirement 5.0.2
Description of Non-conformance and Related Evidence:	
BP explained that big bags are transported from production site to Shakhunya railway station by trucks (60 km). There is one possible route for train from Shahunya railway station to S.Petersburg harbor and its length is 1292 km. However, possible transport routes are not described, places of departure, arrival, transportation mode and distances are not specified in SBP procedure. Организация пояснила, что биг-бэги доставляются на железнодорожный тупик в Шахунье автотранспортом (60 км). Есть один возможный маршрут доставки продукции железной дорогой со ст. Шахунья до порта Санкт-Петербург (1292 км). Однако в процедуре SBP возможные транспортные маршруты не описаны, места отгрузки, прибытия, способа транспортировки и дистанции не указаны.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 06/16	NC Classification: minor
Standard & Requirement:	SBP Instruction #5A, requirement 5.1.1
Description of Non-conformance and Related Evidence:	
Big bags delivered to railway station are unloaded by forklift loader (belonging to contractor) and then loaded to railway wagons. Contractor gave the information that diesel consumption for this vehicle is 0,332 litres/tone biomass. BP did not submit any clear evidence how this consumption was calculated. Биг-бэги доставляются на железнодорожный тупик и разгружаются там вилочным погрузчиком, а затем им же загружаются в железнодорожные вагоны. Подрядчик, которому принадлежит погрузчик, предоставил информацию о том, что на этих операциях расход дизельного топлива составляет 0,332 л/тонну пеллет. Организация не представила ясных свидетельств того, как этот расход был рассчитан.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 07/16	NC Classification: minor
Standard & Requirement:	SBP Instruction 5A, requirement 5.1.2
Description of Non-conformance and Related Evidence:	
Diesel use (trucks and forklift loader) is reported by BP in litres/t biomass not in MJ/t biomass as required in std. Расход дизельного топлива (транспортировка на жд тупик и работа погрузчика на тупике) указан в отчете в литрах на тонну биомассы, как это требует стандарт.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 08/16	NC Classification: minor
Standard & Requirement:	SBP Instruction 5A, requirement 4.11.2
Description of Non-conformance and Related Evidence:	
BP estimates that for drying of a certain unit of the feedstock they need to burn 22% of feedstock of the volume which shall be dried. No specific justification was provided by BP on calculation of the quantity of feedstock entering the biomass dryer. Организация оценивает, что для сушки определенного объема щепы в теплогенераторе должно быть сожжено щепы 22% от объема, который должен быть высушен. Не предоставлено конкретных обоснований расчета расхода щепы, сжигаемой в теплогенераторе.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 09/16	NC Classification: minor
Standard & Requirement:	SBP Instruction 5A, requirement 4.12.2
Description of Non-conformance and Related Evidence:	
BP could not provide justification for the mechanism for moisture determination for feedstock entering the dryer. Организация не предоставила описание механизма (то есть обоснование периодичности) измерения влажности щепы, сжигаемой в теплогенераторе.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

NCR: 10/16	NC Classification: minor
Standard & Requirement:	SBP Standard #4 requirement 6.2.1
Description of Non-conformance and Related Evidence:	
It is not specified in SBP procedure that energy and carbon data are collected using the latest version of SBP Standard 5 В процедуре SBP не указано, что информация о затратах энергии должна собираться с использованием самой последней версии стандарта SBP № 5.	
Corrective action request:	Organisation shall implement corrective actions to demonstrate conformance with the requirement(s) referenced above. Note: Effective corrective actions focus on addressing the specific occurrence described in evidence above, as well as the root cause to eliminate and prevent recurrence of the non-conformance.
Timeline for Conformance:	By the next surveillance audit
Evidence Provided by Organisation:	PENDING
Findings for Evaluation of Evidence:	PENDING
NCR Status:	OPEN
Is the non-conformity likely to impact upon the integrity of the affected SBP-certified products and the credibility of the SBP trademarks?	
Yes ☐ No ☒	

11 Certification decision

Based on Organisation's conformance with SBP requirements, the auditor makes the following recommendation:	
☒	Certification approved: Upon acceptance of NCR(s) issued above
☐	Certification not approved:
Based on auditor's recommendation and NEPCon quality review following certification decision is taken:	
NEPCon certification decision: The Biomass producer has been certified by NEPCon as meeting the requirements of the specified SBP Standard, the certificate can be issued immediately after SBP technical committee will approve the report. The expiration of the certificate will be then 5 years.	
Certification decision by: Asko Lust	
Date of decision: 30 June 2016	

12 Surveillance updates

Not applicable.

13 Evaluation details

Primary Responsible Person: (Responsible for control system at site(s))	Andrey Zatey, director
Auditor(s):	Nikolai Tochilov
People Interviewed, Titles:	Andrey Zatey, director Alexey Sidorov, chief of supply department Nikolai Osokin, chief of H&S department Elena Shikhova, accountant Andrey Voronkin, chief of pellet production Nadezhda Zaitseva, commercial director
Brief Overview of Audit Process for this Location:	See section 6.2
Comments:	No comments