Compliance with the SBP Framework: Public Summary Report

Control Union Certifications BV Evaluation of Uniper Benelux NV

Third Surveillance Audit

Sustainable Biomass Program
sbp-cert.org

Completed in accordance with the CB Public Summary Report Template Version 2.1 and SBP Bridging Requirements for Meeting the Directive EU/2023/2413 (REDIII)

For further information on the SBP Framework and to view the full set of documentation see **www.sbp-cert.org**

Document history

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1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Lukasz Dabrowski
Primary CB contact email:	ldabrowski@controlunion.com
Audit team leader:	Adam Horvath
Audit team members:	ERROR: Works only for SBR or PSR form. Please alter the function by adding more tables, or by dynamic sql
Name of the Company:	Uniper Benelux NV
Company legal address:	Capelseweg 400, 3068 AX Rotterdam, Netherlands
Company contact for SBP:	Kurt Stam
Company contact email:	kurt.stam@uniper.energy
Company website:	
Date of installation:	28 Jun 2024
SBP Certificate Code:	SBP-06-18
Date of certificate issue:	17 Jul 2023
Date of certificate expiry:	16 Jul 2028
Audit closing meeting date:	27 May 2026
Audit cycle:	Third Surveillance Audit

2 Audit conclusions

2.1 Certification decision

Based on the auditor's recommendation and the Certification Body's technical review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Evaluine Okumu
Date of decision:	19 Aug 2026
Other comments:	N/A

2.2 Open non-conformities and observations

2.2 Closed non-conformities

2.3 Actions taken by Organisation Prior to Report Finalisation

NA

2.4 Notes for the next Audit

NA

3 Scope of the audit and SBP certificate

Scope item	Check all that apply to the Certificate scope
Primary activity	End User
Secondary activity	Trader
Approved Standards	SBP Standard 4: Chain of Custody v2.0; SBP Standard 5: Collection and Communication of Data v2.0; Instruction Document EU RED: Bridging Requirements for Meeting the Directive EU/2023/2413 v2.0; Instruction Document 5E: Collection and Communication of Energy and Carbon data. v2.1
Product Group IDs	☒ Forest feedstock (1A) ☐ Trees outside forest (TOF) - Urban and landscape feedstock (2A) ☐ Trees outside forest (TOF) - Agricultural land feedstock (3A) ☒ Processing residues feedstock (4A) ☐ Post-consumer feedstock (5A) ☐ Other (please specify)
SBP Feedstock types	☒ SBP Compliant; ☒ SBP Controlled
Includes Supply Base Evaluation (SBE)	No
Includes EU RED Scheme	Yes
Includes EU RED Supply Base Evaluation (SBE)	No
Products	WB 1.1 Wood pellets
Feedstock origin (countries):	Estonia
SBP-endorsed Regional Risk Assessments used: **Public link:** **https://sbp-cert.org/documents/standards-documents/risk-assessments/**	Not applicable
Chain of custody system	☒ Physical separation ☒ Mass balance
Outsourcing	No
Changes in the scope	No Changes in scope

3.1 Description of the company

Guidance: Please describe the type, structure and operations of the company including its role in the supply chain, its inputs and outputs as they related to SBP Standards.

Power producer Uniper Benelux (UBX) will be co-firing wood pellets as part of the Dutch SDE+ incentive. It is essential that the material being co-fired is having the required sustainability evidence. SBP is part of the package of sustainable systems to guarantee this. The company has 330 employers. The expected turnover relating wood pellets amounts to 40 mill usd.

3.2 Site details

Legal entity name	Address	Site Activity	Visited during the audit
Uniper Benelux BV	Holzstrasse 6, 40221, Dusseldorf,Germany	Trader	☒

3.3 Detailed description of the Chain of Custody system and Product Groups

Guidance: Please describe the operation of the CoC system including details of the mass balance management and a list of established Product Groups.

Sourcing and logistics of the wood pellets will be carried out by a sister Uniper-company which is SBP certified as well. The registration of material, including the SBP transactions will be done in a shared data base system. Material is transported by sea going vessel to the power plant or will be transshipped into barges/(floating) storage which will be unloaded at the power plant. Coasters will (in most cases) directly be discharged at the power plant. There is only limited intermediate bulk storage (day bunker) on site: 2x2000 m3 (total 2500 mt), enough for 1 day worth of wood pellets. They also temperately store material in an external storage facility. UBX will have the trader option under SBP in the case it is necessary to sell a specific shipment, as a trader selling back to back.

4 Specific objective of the audit

The specific objective of this evaluation was to confirm that the traders/End users management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification. No deviation materials have been traded as SBP and the documentation is all in compliance. Next to this the general knowledge and skill level of the company shall be high enough to operate the system the coming year without relevant discrepancies.

5 Evaluation process

5.1 Timing and duration of evaluation activities

Audit Level of Effort (LoE)		
Activity	**Auditors**	**Auditor hours**
1. Preparation	Adam Horvath	4.0
2. On-site (excl. travel time)	Adam Horvath	8.0
3. Report writing	Adam Horvath	4.0

5.2 Auditor team qualification

Auditor qualification		
Auditor name	**Role**	**Qualification**
Adam Horvath	Lead auditor	Lead Auditor in FSC , PEFC (CoC), SBP, GGL,

5.3 Description of evaluation activities

Preparation: Review with the received documents and process descriptions the scope, and determine the critical control points (to be further assessed during the office visit).
Determine documents to exchange before the audit, discuss changes and activities, finalize audit planning.
Office visit :
During the Head office audit and site visit in depth review of procedures, documents and templates.
Potential suppliers documentation were performed onsite in general and specifically on the identified critical control points. Among the items reviewed were (the systems for) SBP approved Chain of Custody, Purchase, Suppliers: verification and monitoring, Transport and storage, Trademark and Logo Use, Sales, Outsourcing, Training, EUTR and Due Diligence System, Business integrity, Social, Health & Safety, Mass Balance, Compliants, Commicationg of data and GHG (DTS, SREG).
Review of the documents and examples relevant for compliance with SBP requirements at the (potential) storage sites of biomassa)
The REDIII Module was introduced on the scope of the manuals and all the procedures were audited.
Closing meeting to summarize findings and follow-up

5.4 List of forest management units and feedstock suppliers visited

☒ N/A – No forest sites or suppliers visited during this evaluation
NA

5.5 Describe audit sampling methodology used

NA

5.6 CB stakeholder engagement

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

Guidance: Please specify the number and types of stakeholders engaged, method of engagement and its outcomes.

5.7 Stakeholder feedback and response

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

5.8 Main strengths and weaknesses of the management system and operations

The audit was conducted in an open and positive environment. Company was good prepared for the audit both in knowledge as in manual and management system. The depth procedures and record keeping are thought to be more than sufficient for the size and complexity of the company. The audit of Uniper demonstrated a good level of compliance with the required criteria of Standard 4 and 5. There was reasonable evidence provided to support compliance and SBP trades were correctly executed. The existence of a FSC/PEFC Chain of Custody system in combination with GGL are considered a main strength with respect to Uniper overall conformity with the relevant SBP standards. The introdution of the RED Module was well done and prepared.

5.9 Summary of evaluation activities for robustness of the Supply Base Evaluation

☒ N/A – Standard 1 not in the scope of the evaluation

5.10 Summary of evaluation activities for Processing residues and/or Post-consumer feedstock requirements per Standard 2 and EU RED

☒ N/A – processing residues and/or post-consumer feedstock not used.

5.11 Summary of evaluation activities for chain of custody system per Standard 4

Preparation: Review with the received documents and process descriptions the scope, and determine the critical control points (to be further assessed during the office visit). Determine documents to exchange before the audit, discuss changes and activities, finalize audit planning.Main Office visit : During the Head office audit and site visit in depth review of procedures, documents and templates. Potential suppliers documentation were performed onsite in general and specifically on the identified critical control points. Among the items reviewed were (the systems for) SBP approved Chain of Custody, Purchase, Suppliers: verification and monitoring, Transport and storage, Trademark and Logo Use, Sales, Outsourcing, Training, EUTR and Due Diligence System, Business integrity, Social, Health & Safety, Mass Balance, Complaints, Commination of data and GHG (DTS, SREG). Review of the documents and examples relevant for compliance with SBP requirements at the (potential) storage sites of biomassa) The REDIII Module was introduced on the scope of the manuals and all the procedures were audited. Closing meeting to summarize findings and follow-up

5.12 Summary of evaluation activities for collection and communication of data per Standard 5

Standard 5 in their scope. No material traded yet (only received).
Connection to DTS enables access to required information.
During interview, procedure and the SREG template which is filled out with basic numbers, show that the company has sufficient understanding to collect and communicate the date once relevant. They will be using their SREG for transport GHG only in case they buy FOB and sell CIF (making the company owner of the material during the transport of the material, otherwise this is the responsibility of the supplier and/or client). This has not occurred since the start of the certification.

5.13 Summary of audit findings for competency of involved personnel

During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.
The sister company (Uniper Global Commodities SE) is subcontracted and responsible for several critical control points. The main contact person is also the main SBP responsible person for Uniper. The contact person is present during the audit and shows in depth knowledge and understanding of the SBP control system.

5.14 Summary of audit findings for GHG methodology per Standard 6 (if applicable)

☒ N/A – Standard 6 not in the scope

6 Detailed evaluation of BP's Supply Base Evaluation (if applicable)

☒ N/A – Supply Base Evaluation not in the scope of the evaluation

6.1 Overview of BP's risk assessments and mitigation measures including EU RED scope

N/A

6.2 CB evaluation of specified risk indicators and mitigation measures