NEPCon OÜ Evaluation of Rewaste Energy BV Compliance with the SBP Framework: Public Summary Report

Third Surveillance Audit

www.sbp-cert.org

Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

Version 1.0: published 26 March 2015

Version 1.1: published 30 January 2018

Version 1.2: published 4 April 2018

Version 1.3: published 10 May 2018

Version 1.4: published 16 August 2018

Version 1.5: published 22 October 2020

Table of Contents

1 Overview

Certification Body (CB) Name:	NEPCon OÜ
Primary CB contact for SBP:	Ondrej Tarabus
Primary CB contact email:	otarabus@preferredbynature.org
Audit team leader:	Pilar Gorria Serrano
Audit team members:	Pilar Gorría
Name of the Company:	Rewaste Energy BV
Company legal address:	Kranebittenbaan 14, 3045 AW Rotterdam, Netherlands
Company contact for SBP:	Jaco ter Steege
Company contact email:	j.tersteege@rewaste.nl
Company website:	www.rewaste.nl
SBP Certificate Code:	SBP-07-02
Date of certificate issue:	25 Jul 2018
Date of certificate expiry:	24 Jul 2023

Audit closing meeting date:	26 Oct 2021
Audit cycle:	Third Surveillance Audit

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	☐
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction; Instruction Document 5E: Collection and Communication of Energy and Carbon Data 1.5	☐
Includes Supply Base Evaluation (SBE):	No	☐
Includes communication of Dynamic Batch Sustainability Data (DBSD)	Yes	☐
Includes Group Scheme	No	☐
Products	Pellets	☐

Feedstock types:	N/A	☐
Feedstock origin (countries):	N/A	☐
SBP-endorsed Regional Risk Assessments used: **Public link:** https://sbp-cert.org/documents/standards-documents/risk-assessments/	Not applicable	☐
Chain of custody system implemented:	FSC: NC-COC-029922	☐
	Transfer	☐

2.1 Description of the company

Organisation is a trader without physical possession having legal address in Rotterdam, Netherlands. Certificate scope cover purchase of SBP-certified / FSC-certified wood pellets from biomass producers in Russia and its transportation to different points of sale in different countries under different Incoterms delivery conditions.

2.2 Detailed description of the Chain of Custody system

The Organisation is holding valid FSC Chain of certificate https://info.fsc.org/details.php?id=a02f300000e0tEaAAI&type=certificate Organisation implements FSC transfer system of claims for biomass trade. FSC certificate scope covers wood pellets purchase and sale without physical possession to different points of sale in different countries under different Incoterms delivery conditions. Possible FSC input and output claims are FSC 100%, FSC Mix Credit, FSC Recycled.

3 Specific objective

The specific objective of this evaluation was to confirm that the Biomass Trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the Biomass Trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;

Review of the records and calculations.

4 Evaluation process

4.1 Timing of evaluation activities

Audit Level of Effort (LoE)		
Activity	***Auditors***	**Auditor hours**
1. Preparation	Pilar Gorría	1,0
2. On-site (excl. travel time)	Pilar Gorría	3,0
3. Report writing	Pilar Gorría	3,0
4. Other	N/A	N/A

Audit Schedule			
Activity	**Location**	**Auditor name**	**Date/time**
Opening meeting	Office - remote	Pilar Gorría	26 Oct 2021/9:00
Interview with the SBP responsible person; review of procedures	Office - remote	Pilar Gorría	26 Oct 2021/9:15
Interview with Purchasing department representative	Office - remote	Pilar Gorría	26 Oct 2021/9:30
Finance and	Office - remote	Pilar Gorría	26 Oct 2021/10.30

sales department: Sales, DTS and energy data			
Review trademarks	Office - remote	Pilar Gorría	26 Oct 2021/11:30
Closing meetin	Office - remote	Pilar Gorría	26 Oct 2021/11:45

Auditor qualification		
Auditor name	**Role**	**Qualification**
Pilar Gorría	Lead auditor	Pilar Gorría Serrano Forest engineer (Pplitecnic Univ. Of Madrid). Has successfully completed SBP training course and the NEPCon Lead auditor training for FSC/PEFC CoC and FM certification. Has experience from forest certification (FSC / PEFC FM), traceability (FSC / PEFC CoC) and biomass certification (SBP - Sustainable Biomass Program) for more than 10 years. Lead auditor and responsible for all audit processes.

4.2 Description of evaluation activities

Audit started with an opening meeting attended by Organisation management.

Audit team leader introduced herself, provided information about audit plan, methodology and aim of the evaluation. CB's accreditation related issues and confidentiality issues were covered as well.

After that auditor went trough all applicable requirements of evaluation standards and instruction documents. During the process, main responsible person for SBP system was interviewed as well as relevant documentes reviewed. The CCP points, identification of the material, management of purchase and sales were evaluated. GHG reporting and DTS managment were also reviewed.

During the closing meeting audit team leader explained the results of the audit and further actions were discussed.

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4.3 Sampling methodology

The main responsible staff was interviewed and all procedures were also reviewed. No specific purchases and sales were sampled because the organization has not started with SBP activities since the main assessment.

4.4 CB stakeholder engagement

Not applicable

4.5 Stakeholder feedback

Not applicable

5 Results

5.1 Main strengths and weaknesses

Main strengths: Simple and transparent supply chains; FSC and SBP management systems have been developed and implemented by staff.

Main weaknesses: CH has not started with SBP activities (purchase and sales) since the assessment

5.2 Rigour of Supply Base Evaluation

Not applicable

5.3 Collection and communication of data

Organisation explained that they expect that biomass producers will most likely be supplying biomass at CIF Incoterms conditions; the biomass then will be sold to customer on board of the vessel upon its acceptance. Therefore, the biomass will be in Organisation's ownership during the very limited time, and most likely, no energy use will occur during that time. However, Organisation claimed that in case of delivery conditions changing, if any energy use occur, they will collect and register relevant data.

5.4 Competency of involved personnel

The SBP responsible staff has shown good understanding of the requirements in relation to SBP certification and FSC CoC system.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

Not applicable

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 Non-conformities and observations

NC number NC-000746 (01/21)	NC Grading: Observation
Standard:	Instruction Document 5E: Collection and Communication of Energy and Carbon Data 1.5
Requirement:	5.1.1 All transactions shall be recorded in the DTS.
Description of Non-conformance and Related Evidence:	
Organization has procedures to use the DTS for any transaction with SBP but until this annual audit the organization has not started with SBP activity. The organization should review the DTS videos and the guide provided in the SBP website before start using DTS for the first time. https://sbp-cert.org/data-transfer-system/dts-guidance-videos/	
Timeline for Conformance:	N/A
Evidence Provided by Company to close NC:	N/A
Findings for Evaluation of Evidence:	N/A
NC Status:	N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Olesja Puiso
Date of decision:	27 Oct 2021
Other comments:	N/A