



Control Union Certifications BV Evaluation of Tree Trading DWC LLC Compliance with the SBP Framework: Public Summary Report

First Surveillance Audit

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Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

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Table of Contents

1	Overview
2	Scope of the evaluation and SBP certificate
2.1	Description of the company
2.2	Detailed description of the Chain of Custody system
3	Specific objective
4	Evaluation process
4.1	Timing of evaluation activities
4.2	Description of evaluation activities
4.3	Sampling methodology
4.4	CB stakeholder engagement
4.5	Stakeholder feedback
5	Results
5.1	Main strengths and weaknesses
5.2	Rigour of Supply Base Evaluation
5.3	Collection and communication of data
5.4	Competency of involved personnel
6	Review of company's risk assessments
6.1	Overview of company's risk assessments and mitigation measures
6.2	Specified risk indicators and mitigation measures
7	Non-conformities and observations
8	Certification decision

1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Robin Rosendahl
Primary CB contact email:	rrosendahl@controlunion.com
Audit team leader:	Lennart Holm
Audit team members:	-
Name of the Company:	Tree Trading DWC LLC
Company legal address:	Business Center Logistics City; Dubai Aviation City; P.O. box: 390667 Dubai, United Arab Emirates
Company contact for SBP:	Joana Carvalho
Company contact email:	joana.carvalho@atgreen.pt
Company website:	https://treetrading.ae/
SBP Certificate Code:	SBP-06-66
Date of certificate issue:	15 Oct 2021
Date of certificate expiry:	14 Oct 2026
Audit closing meeting date:	12 Sep 2022
Audit cycle:	First Surveillance Audit

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	☐
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction; Instruction Document 5E: Collection and Communication of Energy and Carbon Data 1.5	☐
Includes Supply Base Evaluation (SBE):	No	☐
Includes communication of Dynamic Batch Sustainability Data (DBSD)	Yes	☐
Includes Group Scheme	No	☐
Products	Pellets, Chips	☐

Feedstock types:	Primary, Secondary	☐
Feedstock origin (countries):	Portugal	☐
SBP-endorsed Regional Risk Assessments used:	Not applicable	☐
Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/		☐
Chain of custody system implemented:	FSC: CU-COC-882296, CU-CW-882296	☐
	Transfer	☐

2.1 Description of the company

Tree Trading is a worldwide biomass trading company, founded in 2020, with headquarters in Dubai, United Arab Emirates. Tree Trading is certified against the following certification systems, pertaining to the trading of woody biomass: • FSC® Chain of Custody; • Sustainable Biomass Program; • Green Gold Label. Tree Trading trades woody biomass in the form of pellets and wood chips. Possible incoterms conditions for Tree Trading's trades are FCA, DAP, DDP, DPU, CIP, EXW, FOB, CIF, CPT, and CFR. Company registration number: 10112 Company address: Business Center Logistics City; Dubai Aviation City; P.O. box: 390667; Dubai; United Arab Emirates. Website: <https://treetrading.ae/> Contact person, regarding SBP and GGL certification: Name and Title: Joana Carvalho, Certification Manager Phone: +351 927526444

2.2 Detailed description of the Chain of Custody system

The organization has implemented FSC transfer system with biomass (wood chips and pellets) in the scope of their certificates, although the FSC certificate is not yet issued. The process covers trade of biomass with and without physical possession. Valid FSC system description and other documents exist. As a trading company, they imports biomass (wood chips and pellets) by sea mainly CIF, with or without storage.

3 Specific objective

The specific objective of this evaluation was to confirm that Tree Trading's management system is capable of ensuring that all requirements of the specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of Tree Trading's management procedures;
- Review of SBP system control points and an analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the records and calculations;
- Analysis of DTS and SREG knowledge.

4 Evaluation process

4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
<i>Activity</i>	<i>Auditors</i>	<i>Auditor hours</i>
1. Preparation	Lennart Holm	2,0
2. On-site (excl. travel time)	Lennart Holm	8,0
3. Report writing	Lennart Holm	2,0
4. Other	-	0,0

<i>Audit Schedule</i>			
<i>Activity</i>	<i>Location</i>	<i>Auditor name</i>	<i>Date/time</i>
<i>Opening meeting</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/9.00
<i>Business integrity, social, health and safety requirements</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/9.30
<i>Logo/Trademark use</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/9.45
<i>Complaints Procedures</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/10.00

<i>Incoming material claims and raw material registration</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/10.10
<i>GHG Data registration</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/13.00
<i>Chain of Custody Registrations</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/13.30
<i>Outstanding Issues and next steps</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/14.00
<i>Closing Meeting</i>	Tree Trading, Dubai	Lennart Holm	12 Sep 2022/15.30

Auditor qualification		
Auditor name	Role	Qualification
Lennart Holm	Lead Auditor	Lead SBP, GGL, SDE+, GGL and FSC and PEFC FM/COC auditor and successfully passed the SBP auditor training programme

4.2 Description of evaluation activities

This was an on-site audit held on Tree Trading office in Dubai on 12/09/2022.

The audit consisted of an opening meeting, during which the scope was confirmed. The auditor also explained the methods to be employed during the audit.

After this introduction, all relevant requirements of the applicable SBP standard(s) were verified on compliance through the use of a report template and checklists. Procedures for handling of biomass at ports were reviewed. All relevant requirements for storage locations was evaluated and found to be sufficiently managed.

The audit was completed by filling in the audit report and discussing the audit results.

Critical Control points were evaluated and found to be sufficiently managed and a closing meeting performed.

Names and of people interviewed:

Joana Carvalho

João Luís Gonçalves

Yousra Benali

Critical control points, summary

Identified CCP

Evaluation CCP

Purchase and sales: Checking of Valid certificates in case of SBP

Procedures in place. Training done.
Interview confirms understanding of the system.

Volume control

FSC with mass balance system in place

4.3 Sampling methodology

All applicable documents and procedures were reviewed. Tree Trading has concluded one trade of wood pellets, thus all invoices, DTS registrations and associated SAR was verified.

4.4 CB stakeholder engagement

N/A

4.5 Stakeholder feedback

N/A

5 Results

5.1 Main strengths and weaknesses

The audit of Tree Trading demonstrated a good level of compliance with the required criteria of Standard 4 and 5. There was reasonable evidence provided to support compliance where a Non-Conformity was not detected. The existence of a FSC Chain of Custody system are considered a main strength with respect to Tree Trading's overall conformity with the relevant SBP standards.

No weaknesses were identified.

5.2 Rigour of Supply Base Evaluation

N/A

5.3 Collection and communication of data

Tree Trading assures the completeness of incoming documentation to forward to their buyers. Tree Trading is a trader with and without physical possession, and thus may does handle with the purchased biomass. Tree Trading forwards to its customer the energy use data, received from their suppliers, supported by the production of a SREG if required.

5.4 Competency of involved personnel

The company consist of a biomass trading desk of which one has the main responsibility related to the SBP system. All personnel that is involved with SBP have received appropriate training where all relevant procedures and requirements have been covered. The SBP responsible staff has shown good understanding of the requirements in relation to SBP certification and of the FSC CoC system. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

N/A

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 **Non-conformities and observations**

N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Robin Rosendahl
Date of decision:	05 Dec 2022
Other comments:	N/A