



Compliance with the SBP Framework: Public Summary Report

Control Union Certifications BV
Evaluation of Uniper Global Commodities
SE

Fourth Surveillance Audit

Sustainable Biomass Program
sbp-cert.org





Completed in accordance with the CB Public Summary Report Template Version 2.1 and SBP Bridging Requirements for Meeting the Directive EU/2023/2413 (REDIII)

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

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1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Lukasz Dabrowski
Primary CB contact email:	ldabrowski@controlunion.com
Audit team leader:	Adam Horvath
Audit team members:	NA
Name of the Company:	Uniper Global Commodities SE
Company legal address:	Holzstrasse 6, D-40221 Dusseldorf, Germany
Company contact for SBP:	Alfons Van-Weereld
Company contact email:	alfons.van-weereld@uniper.energy
Company website:	
Date of installation:	
SBP Certificate Code:	SBP-06-10
Date of certificate issue:	15 Jan 2023
Date of certificate expiry:	14 Jan 2028
Audit closing meeting date:	27 May 2026
Audit cycle:	Fourth Surveillance Audit

2 Audit conclusions

2.1 Certification decision

Based on the auditor's recommendation and the Certification Body's technical review, the following certification decision is taken:

Certification decision:	Certification approved
Certification decision by (name of the person):	Evaline Okumu
Date of decision:	18 Aug 2026
Other comments:	N/A

2.2 Open non-conformities and observations

2.2 Closed non-conformities

2.3 Actions taken by Organisation Prior to Report Finalisation

Ch was very co-operative and provided a safe and well structured audit environment

2.4 Notes for the next Audit

NA

3 Scope of the audit and SBP certificate

Scope item	Check all that apply to the Certificate scope
Primary activity	Trader
Secondary activity	
Approved Standards	SBP Standard 4: Chain of Custody v2.0; SBP Standard 5: Collection and Communication of Data v2.0; Instruction Document EU RED: Bridging Requirements for Meeting the Directive EU/2023/2413 v2.0; Instruction Document 5E: Collection and Communication of Energy and Carbon data. v2.1
Product Group IDs	☒ Forest feedstock (1A) ☐ Trees outside forest (TOF) - Urban and landscape feedstock (2A) ☐ Trees outside forest (TOF) - Agricultural land feedstock (3A) ☒ Processing residues feedstock (4A) ☐ Post-consumer feedstock (5A) ☐ Other (please specify)
SBP Feedstock types	☒ SBP Compliant; ☒ SBP Controlled
Includes Supply Base Evaluation (SBE)	No
Includes EU RED Scheme	Yes
Includes EU RED Supply Base Evaluation (SBE)	No
Products	WB 1.1 Wood pellets, WB 2.1 Wood chips
Feedstock origin (countries):	United States
SBP-endorsed Regional Risk Assessments used:	Not applicable
Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/	
Chain of custody system	☒ Physical separation ☒ Mass balance
Outsourcing	No
Changes in the scope	NA

3.1 Description of the company

Guidance: Please describe the type, structure and operations of the company including its role in the supply chain, its inputs and outputs as they related to SBP Standards.

Uniper Global Commodities is part of an international energy company with about 13,000 employees. They overall combine a balanced portfolio of technologically advanced large-scale assets with outstanding technical and commercial expertise. These assets and capabilities enable them to deliver flexible, bespoke, competitively priced energy products and services with agility, precision and speed.

3.2 Site details

Legal entity name	Address	Site Activity	Visited during the audit
Uniper Global Commodities SE	Holzstrasse 6, D-40221 Dusseldorf, Germany	Trader	☒

3.3 Detailed description of the Chain of Custody system and Product Groups

Guidance: Please describe the operation of the CoC system including details of the mass balance management and a list of established Product Groups.

Uniper Global Commodities acts as the purchasing department that provides the holdings powerplant with fuels, for biofuels Uniper Global will act as a trader toward third parties. For uniper Global they are acting as outsourcing subcontractor under FSC. Uniper Global Commodities holds valid FSC Chain of Custody (COC), PEFC (COC) and GGL (COC) certificates. They will (normally) trade back to back or possibly store material separately without mixing. The sustainability characteristics will stay linked to the shipment and are noted in their transfer system registration balance. The outgoing sustainability declaration will be the same as the incoming declaration, having the same sustainability characteristics. The GHG for handling and shipping while the material is in their possession will be noted in their SREG. Communication and passing information in the chain are done using the DTS system.

4 Specific objective of the audit

The audit was conducted in May 27th of 2026 at the Uniper facility. This report is the result of the findings of a certification evaluation carried out by an independent lead auditor representing Control Union Certifications. The purpose of the assessment was to evaluate the compliance of the client with respect to the standards used within the scope of the certificate.

5 Evaluation process

5.1 Timing and duration of evaluation activities

Audit Level of Effort (LoE)		
Activity	Auditors	Auditor hours
1. Preparation	Adam Horvath	4.0
2. On-site (excl. travel time)	Adam Horvath	8.0
3. Report writing	Adam Horvath	4.0

5.2 Auditor team qualification

Auditor qualification		
Auditor name	Role	Qualification
Adam Horvath	lead auditor	Lead Auditor in SBP,GGL,ISCC,FSC, PEFC.

5.3 Description of evaluation activities

Opening meeting (contact SBP responsible person and lead auditor):

- discuss scope, changes, ongoing activities, relevant documentation to be sent, finalize audit planning.

Desk review by lead auditor (between opening and on-site audit)

- review procedures and documents sent to the lead auditor

audit: Office audit

During the Head office audit and site visit in depth review of procedures, documents and templates, potential suppliers documentation were performed onsite in general and specifically on the identified critical control points. Among the items reviewed were (the systems for) SBP approved Chain of Custody, Purchase, Suppliers: verification and monitoring, Transport and storage, Trademark and Logo Use, Sales, Outsourcing, Training, EUTR and Due Diligence System, Business integrity, Social, Health & Safety, Mass Balance, Compliance, Communication of data and GHG (DTS, SREG).

The REDIII Module was introduced on the scope of the manuals and all the procedures were audited.

Relevant personal was interviewed by UGC and UBX:

- Vico Ostermann – Biomass Sourcing Manager (UGC, but responsible for the UBX work)
- Jo Klaessens – Kwaliteitsfunctionaris (Quality officer) for laboratory and biomass certifications

All procedures and registrations were reviewed.

5.4 List of forest management units and feedstock suppliers visited

☒ N/A – No forest sites or suppliers visited during this evaluation
na

5.5 Describe audit sampling methodology used

All procedures and registrations were reviewed. The responsible person is also responsible for the trading activities of Uniper Benelux, hence samples from that audit (the same days) were used as examples that the control system and relevant knowledge is in place.

5.6 CB stakeholder engagement

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

Guidance: Please specify the number and types of stakeholders engaged, method of engagement and its outcomes.

5.7 Stakeholder feedback and response

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

5.8 Main strengths and weaknesses of the management system and operations

The audit was conducted in an open and positive environment. Company was well prepared for the audit both in knowledge as in manual and management system. No issues were found that could be raised as non-compliance to the requirement of the standard. The depth procedures and record keeping are thought to be more than sufficient for the size and complexity of the company.

5.9 Summary of evaluation activities for robustness of the Supply Base Evaluation

☒ N/A – Standard 1 not in the scope of the evaluation

5.10 Summary of evaluation activities for Processing residues and/or Post-consumer feedstock requirements per Standard 2 and EU RED

☒ N/A – processing residues and/or post-consumer feedstock not used.

5.11 Summary of evaluation activities for chain of custody system per Standard 4

Uniper Global Commodities acts as the purchasing department that provides the holdings powerplant with fuels, for biofuels

Uniper Global will act as a trader toward third parties. For uniper Global they are acting as outsourcing subcontractor under FSC.

Uniper Global Commodities holds valid FSC Chain of Custody (COC), PEFC (COC) and GGL (COC) certificates. They will (normally) trade back to back or possibly store material separately without mixing. The sustainability characteristics will stay linked to the shipment and are noted in their transfer system registration balance. The outgoing sustainability declaration will be the same as the incoming declaration, having the same sustainability characteristics. The GHG for handling and shipping while the material is in their possession will be noted in their SREG. Communication and passing information in the chain are done using the DTS system.

5.12 Summary of evaluation activities for collection and communication of data per Standard 5

The company has in depth procedures for this. Understand DTS system, though no actual trades have yet been received. The company is involved trades including vessel and barge transport, calculations were all prepared. Further on the company has just to assure completeness of incoming documentation to forward to their buyers.

5.13 Summary of audit findings for competency of involved personnel

The company consist of a biomass trading desk of which one has the main responsibility related to the SBP system. During the audit the auditee showed clear and in-depth understanding of SBP, its procedures and the proper execution of those. Considering the size of the company, there were no risks detected related to the competency of involved personnel.

5.14 Summary of audit findings for GHG methodology per Standard 6 (if applicable)

☒ N/A – Standard 6 not in the scope

6 Detailed evaluation of BP's Supply Base Evaluation (if applicable)

☒ N/A – Supply Base Evaluation not in the scope of the evaluation

6.1 Overview of BP's risk assessments and mitigation measures including EU RED scope

N/A

6.2 CB evaluation of specified risk indicators and mitigation measures