



NEPCon OÜ Evaluation of CM Biomass LLC Compliance with the SBP Framework: Public Summary Report

Re-assessment

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Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

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1 Overview

Certification Body (CB) Name:	NEPCon OÜ
Primary CB contact for SBP:	Ondrej Tarabus
Primary CB contact email:	otarabus@preferredbynature.org
Audit team leader:	Natalia Zaladinova
Audit team members:	Natalia Zaladinova
Name of the Company:	CM Biomass LLC
Company legal address:	6 Khokhlova Street, Room 11, Gatchinskiy district, Leningrad region, 188300 Gatchina, Russia
Company contact for SBP:	Vera Basharina
Company contact email:	vera.basharina@cmbiomass.com
Company website:	N/A
SBP Certificate Code:	SBP-01-41
Date of certificate issue:	04 Oct 2021
Date of certificate expiry:	03 Oct 2026
Audit closing meeting date:	20 Aug 2021
Audit cycle:	Re-assessment

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	☐
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction	☐
Includes Supply Base Evaluation (SBE):	No	☐
Includes communication of Dynamic Batch Sustainability Data (DBSD)	No	☐
Includes Group Scheme	No	☐
Products	Pellets	☐

Feedstock types:	N/A	☐
Feedstock origin (countries):	N/A	☐
SBP-endorsed Regional Risk Assessments used: Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/	Not applicable	☐
Chain of custody system implemented:	FSC: NC-COC-025650	☐
	Transfer	☐

2.1 Description of the company

Organization is a biomass trader sourcing the material from a number of pellet producers in North-West Russia (since the initial evaluation there were two suppliers). The material is delivered to S.Petersburg sea port by suppliers by truck, at this moment possession is taken over by CM Biomass LLC (based on acceptance act) and immediately after that it is sold by organization to its exclusive customer. Therefore, the organization shall be considered as a trader without physical possession. There is no any energy consumption for biomass handling, storage and/or shipment.

2.2 Detailed description of the Chain of Custody system

The Organization has implemented the FSC transfer system with biomass in the scope of the certificate - NC-COC-025650. The process covers trade with biomass without physical possession. The material is delivered by suppliers by truck to S.Petersburg sea port and sold to Organization, which then sells it to its customer. Delivery conditions are FCA, S.Petersburg sea port (Incoterms). Potentially, biomass may be purchased and sold with different FSC claims (FSC 100%, FSC Mix Credit, FSC Mix%, FSC Controlled Wood). Non-certified and non-controlled biomass is not purchased.

3 Specific objective

The specific objective of this evaluation was to confirm that the biomass trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the biomass trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the sales and purchases reports, DTS system.

4 Evaluation process

4.1 Timing of evaluation activities

Audit Level of Effort (LoE)		
Activity	Auditors	Auditor hours
1. Preparation	Natalia Zaladinova	2,0
2. On-site (excl. travel time)	Natalia Zaladinova	6,0
3. Report writing	Natalia Zaladinova	6,0
4. Other	N/A	N/A

Audit Schedule			
Activity	Location	Auditor name	Date/time
<i>Review documentation before the assessment</i>	Desk audit	Natalia Zaladinova	19 Aug 2021/3
<i>Opening meeting</i>	Desk audit	Natalia Zaladinova	20 Aug 2021/0.25
<i>Documents and procedures review . Staff interview</i>	Desk audit	Natalia Zaladinova	20 Aug 2021/2.5
<i>Closing meeting</i>	Desk audit	Natalia Zaladinova	20 Aug 2021/0.25

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Auditor qualification		
Auditor name	Role	Qualification
Natalia Zaladinova	Lead auditor	NEPCon SBP lead auditor. She successfully passed SBP auditor training course in December 2016 in Amsterdam and participated in a number of SBP assessments and annual audits in Russia.

4.2 Description of evaluation activities

This was a desk audit conducted via phone. Prior to the audit the Organization provided the auditor with documentation related to SBP certification. The auditor reviewed and analyzed the received documents, interviewed responsible staff members and finally provided preliminary results of the audit. The auditor conducted opening meeting by telephone and explained audit plan, goals and tasks of the audit. The closing meeting was also conducted by telephone during which the auditor communicated preliminary results of the audit. The correct use of DTS was also assessed during the audit.

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4.3 Sampling methodology

Documents and procedures review (feedstock inputs, CoC control system and critical points, compliance with legal requirements, H&S, DTS system).

4.4 CB stakeholder engagement

No stakeholder consultations conducted prior, during and after this audit (n/a for trader).

4.5 Stakeholder feedback

No stakeholder consultations conducted prior, during and after this audit (n/a for trader).

5 Results

5.1 Main strengths and weaknesses

Organisation is a trader without physical possession, implementing transfer system of FSC and SBP claims, therefore it is relatively simple certification. Organisation has effective bookkeeping system, so all operations on biomass purchase and sales are clearly identifiable. No energy used for pellets handling etc. Auditor did not identify any weaknesses in Organisation's management systems

5.2 Rigour of Supply Base Evaluation

Not applicable

5.3 Collection and communication of data

CM Biomass LLC is a trader without physical possession, and does not handle purchased biomass, therefore this section is not applicable. Organization forwards to its customer the energy use data, received from its suppliers.

5.4 Competency of involved personnel

All staff involved into SBP certification and interviewed during reassessment showed good understanding of the requirements in relation to SBP certification and of the FSC CoC system.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

Not applicable.

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 **Non-conformities and observations**

N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Pilar Gorriá Serrano
Date of decision:	27 Sep 2021
Other comments:	N/A