



NEPCon OÜ Evaluation of Great Spirit Limited Compliance with the SBP Framework: Public Summary Report

Second Surveillance Audit

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The promise of good biomass



Completed in accordance with the CB Public Summary Report Template Version 1.5

For further information on the SBP Framework and to view the full set of documentation see www.sbp-cert.org

Document history

Version 1.0: published 26 March 2015

Version 1.1: published 30 January 2018

Version 1.2: published 4 April 2018

Version 1.3: published 10 May 2018

Version 1.4: published 16 August 2018

Version 1.5: published 22 October 2020

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1 Overview

Certification Body (CB) Name:	NEPCon OÜ
Primary CB contact for SBP:	Ondrej Tarabus
Primary CB contact email:	otarabus@preferredbynature.org
Audit team leader:	Natalia Zaladinova
Audit team members:	Natalia Zaladinova
Name of the Company:	Great Spirit Limited
Company legal address:	FLAT /RM E 26/F CNT TOWER 338 HENNESSY ROAD WAN CHAI Hong Kong, China
Company contact for SBP:	Sergey Dikusarov
Company contact email:	payments@greatspirit.hk
Company website:	N/A
SBP Certificate Code:	SBP-07-24
Date of certificate issue:	22 Jul 2019
Date of certificate expiry:	21 Jul 2024
Audit closing meeting date:	01 Jul 2021
Audit cycle:	Second Surveillance Audit

2 Scope of the evaluation and SBP certificate

Scope Item	Check all that apply to the Certificate Scope	Change in scope (N/A for Assessments)
Primary Activity:	Trader	☐
Approved Standards:	SBP Standard 4: Chain of Custody; SBP Standard 5: Collection and Communication of Data Instruction	☐
Includes Supply Base Evaluation (SBE):	No	☐
Includes communication of Dynamic Batch Sustainability Data (DBSD)	No	☐
Includes Group Scheme	No	☐
Products	Pellets	☐

Feedstock types:	N/A	☐
Feedstock origin (countries):	N/A	☐
SBP-endorsed Regional Risk Assessments used: Public link: https://sbp-cert.org/documents/standards-documents/risk-assessments/	Not applicable	☐
Chain of custody system implemented:	FSC: FC-COC-001110	☐
	Transfer	☐

2.1 Description of the company

GREAT SPIRIT LIMETED is a trader without physical possession of wood pellets with its legal address in Hong Kong. Its representative office in Russia is located in Irkutsk and sourcing the biomass from two pellet producer in Russia, Irkutsk oblast. The Trader holds a valid FSC CoC certificate. Biomass is delivered by BPs – suppliers of the Trader by rail to S.Petersburg sea ports on FCA delivery conditions. All end points are covered with the supplier's SBP certificate scope. The trader does not complete SREG. No storages included in the scope

2.2 Detailed description of the Chain of Custody system

The Trader holds valid FSC CoC <https://info.fsc.org/details.php?id=a02f300000gXED3AAO&type=certificate> certificate covering trade with biomass (wood pellets) without physical possession. Approved claims covered by FSC CoC certificate are FSC 100%, FSC Mix and FSC Controlled Wood. During the reporting period the Trader purchased and sold all pellets with only an FSC Mix Credit claim. In case of purchasing and selling pellets with other claims batches with different inputs (i.e. SBP-compliant biomass, SBP-controlled biomass, and other biomass) will be provided with sperate contracts and trade documentation.

3 Specific objective

The specific objective of this evaluation was to confirm that the biomass trader's management system is capable of ensuring that all requirements of specified SBP Standards are implemented across the entire scope of certification.

The scope of the evaluation covered:

- Review of the biomass trader's management procedures;
- Review of FSC system control points, analysis of the existing FSC CoC system;
- Interviews with responsible staff;
- Review of the sales and purchases reports, DTS system.

4 Evaluation process

4.1 Timing of evaluation activities

<i>Audit Level of Effort (LoE)</i>		
Activity	Auditors	Auditor hours
1. Preparation	Zaladinova Natalia	2,0
2. On-site (excl. travel time)	Zaladinova Natalia	6,0
3. Report writing	Zaladinova Natalia	6,0
4. Other	N/A	N/A

<i>Audit Schedule</i>			
Activity	Location	Auditor name	Date/time
<i>Opening meeting</i>	Desk audit	Zaladinova Natalia	01 Jul 2021/9:00
<i>Documents and procedures review (feedstock inputs, CoC control system and critical points, compliance with legal requirements, H&S, DTS)</i>	Desk audit	Zaladinova Natalia	01 Jul 2021/9:30

<i>system).</i>			
<i>Staff interview Additional documentation review.</i>	Desk audit	Zaladinova Natalia	01 Jul 2021/13:00
<i>Closing meeting</i>	Desk audit	Zaladinova Natalia	01 Jul 2021/14:30

Auditor qualification		
Auditor name	Role	Qualification
Natalia Zaladinova	Audit team leader	NEPCon SBP lead auditor. She successfully passed SBP auditor training course in December 2016 in Amsterdam and participated in a number of SBP assessments and annual audits in Russia.

4.2 Description of evaluation activities

Activity

	Location	Date/time
Opening meeting	Desk audit	01/07/2021 09.00-09.30
Documents and procedures review (feedstock inputs, CoC control system and critical points, compliance with legal requirements, H&S, DTS system).	Desk audit	01/07/2021 09.30-12.00
Staff interview	Desk audit	01/07/2021 13.00-14.30
Additional documentation review.		
Closing meeting	Desk audit	01/07/2021

This was a desk audit conducted by telephone and via phone. Prior to the audit the Organization provided the auditor with documentation related to SBP certification. The auditor reviewed and analyzed the received documents, interviewed responsible staff members and finally provided preliminary results of the audit. The auditor conducted opening meeting by telephone and explained audit plan, goals and tasks of the audit. The closing meeting was also conducted by telephone during which the auditor communicated preliminary results of the audit. The correct use of DTS was also assessed during the audit.

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4.3 Sampling methodology

This was a desk audit conducted by telephone and via phone. Prior to the audit the Organization provided the auditor with documentation related to SBP certification. The auditor reviewed and analyzed the received documents, interviewed responsible staff members and finally provided preliminary results of the audit. The auditor conducted opening meeting by telephone and explained audit plan, goals and tasks of the audit. The closing meeting was also conducted by telephone during which the auditor communicated preliminary results of the audit. The correct use of DTS was also assessed during the audit.

4.4 CB stakeholder engagement

No stakeholder consultations conducted prior, during and after this audit (n/a for trader).

4.5 Stakeholder feedback

No stakeholder consultations conducted prior, during and after this audit (n/a for trader).

5 Results

5.1 Main strengths and weaknesses

Strengths: use of the FSC transfer system; limited number of the management staff and clearly designated responsibilities within the staff members.

Weaknesses: none.

5.2 Rigour of Supply Base Evaluation

Not applicable

5.3 Collection and communication of data

GREAT SPIRIT LIMITED is a trader without physical possession. The Trader does not complete SREG since all end points are covered with the supplier's SBP certificate scope. The Trader commits to provide its suppliers' SARs to the SBP certified customers upon request.

5.4 Competency of involved personnel

All staff involved into SBP certification and interviewed during assessment showed good understanding of the requirements in relation to SBP certification and of the FSC CoC system.

6 Review of company's risk assessments

6.1 Overview of company's risk assessments and mitigation measures

Not applicable

6.2 Specified risk indicators and mitigation measures

Country/Area	Indicator	Specified risk description	Mitigation measure
N/A	N/A	N/A	N/A

7 **Non-conformities and observations**

N/A

8 Certification decision

Based on the auditor's recommendation and the Certification Body's quality review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Pilar Gorría
Date of decision:	14 Sep 2021
Other comments:	N/A