Compliance with the SBP Framework: Public Summary Report

Control Union Certifications BV Evaluation of UPC RENEWABLES JAPAN KK

First Surveillance Audit

Sustainable Biomass Program
sbp-cert.org

Completed in accordance with the CB Public Summary Report Template Version 2.1 and SBP Bridging Requirements for Meeting the Directive EU/2023/2413 (REDIII)

For further information on the SBP Framework and to view the full set of documentation see **www.sbp-cert.org**

Document history

Version 2.0	**Published 10 August 2023**
Version 2.1	Published 21 May 2025

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1 Overview

Certification Body (CB) Name:	Control Union Certifications BV
Primary CB contact for SBP:	Robin Rosendahl
Primary CB contact email:	rrosendahl@controlunion.com
Audit team leader:	Ferly Cynte Lanan
Audit team members:	N/A
Name of the Company:	UPC RENEWABLES JAPAN KK
Company legal address:	Level 16 Roppongi Hills North Tower, 6-2-31 Roppongi, Minato-Ku, 106-0032 Tokyo, Japan
Company contact for SBP:	Sohra Yatani
Company contact email:	sohra.yatani@upcrenewables.com
Company website:	
Date of installation:	
SBP Certificate Code:	SBP-11-14
Date of certificate issue:	13 Jun 2025
Date of certificate expiry:	12 Jun 2030
Audit closing meeting date:	24 Apr 2026
Audit cycle:	First Surveillance Audit

2 Audit conclusions

2.1 Certification decision

Based on the auditor's recommendation and the Certification Body's technical review, the following certification decision is taken:	
Certification decision:	Certification approved
Certification decision by (name of the person):	Evaline Okumu
Date of decision:	17 Jul 2026
Other comments:	N/A

2.2 Open non-conformities and observations

2.2 Closed non-conformities

2.3 Actions taken by Organisation Prior to Report Finalisation

N/A as there is no NCs raised.

2.4 Notes for the next Audit

The organization has maintained a management system according to standard requirements.

3 Scope of the audit and SBP certificate

Scope item	Check all that apply to the Certificate scope
Primary activity	Trader
Secondary activity	
Approved Standards	SBP Standard 4: Chain of Custody v2.0; SBP Standard 5: Collection and Communication of Data v2.0; Instruction Document 5E: Collection and Communication of Energy and Carbon data. v2.1; Instruction Document EU RED: Bridging Requirements for Meeting the Directive EU/2023/2413 v2.0; Instruction Document Japan: Bridging Requirements for meeting Japanese sustainability, legality and GHG saving requirements v1.1 -
Product Group IDs	☐ Forest feedstock (1A) ☐ Trees outside forest (TOF) - Urban and landscape feedstock (2A) ☐ Trees outside forest (TOF) - Agricultural land feedstock (3A) ☒ Processing residues feedstock (4A) ☐ Post-consumer feedstock (5A) ☒ Other (please specify) The organisation is a trader that purchases wood pellet and wood chipfrom SBP certificate holders, and the product group is defined based on the purchased products
SBP Feedstock types	☒ SBP Compliant; ☒ SBP Controlled
Includes Supply Base Evaluation (SBE)	No
Includes EU RED Scheme	Yes
Includes EU RED Supply Base Evaluation (SBE)	No
Products	WB 2.1 Wood chips, WB 1.1 Wood pellets
Feedstock origin (countries):	Viet Nam
SBP-endorsed Regional Risk Assessments used: **Public link:** **https://sbp-cert.org/documents/standards-documents/risk-assessments/**	Not applicable
Chain of custody system	☒ Physical separation ☐ Mass balance
Outsourcing	No
Changes in the scope	Adding ID Japan in the scope during this surveillance audit.

3.1 Description of the company

Guidance: Please describe the type, structure and operations of the company including its role in the supply chain, its inputs and outputs as they related to SBP Standards.

UPC Renewables Japan KK was established in 2024. The organization is located in Roppongi, Minato-ku, Japan, with four (4) employees that manage the operation. In chain of custody, the organization's scope is trader without physical possession. As of now, there is no SBP transaction since last audit.

3.2 Site details

Legal entity name	Address	Site Activity	Visited during the audit
UPC RENEWABLES JAPAN KK	10-4 Sakuragaokacho, Shibuya-ku, Tokyo 150-0031	Adminstration	☒

3.3 Detailed description of the Chain of Custody system and Product Groups

Guidance: Please describe the operation of the CoC system including details of the mass balance management and a list of established Product Groups.

The organisation is trader without physical possession located in Tokyo, Japan. Currently only three (3) employee who is responsible for management system for SBP. The organisation opt to use transfer system/physical separation in FSC and PEFC CoC system for SBP product. Product type: wood pellet & wood chip.

4 Specific objective of the audit

The specific objective of this evaluation was to confirm that the trader's management system is capable of ensuring that all requirements of below SBP Standards are implemented across the entire scope of certification.

SBP Standard 4,
SBP Standard 5
Instruction Document 5E,
Instruction Document Japan,
SBP EU RED

5 Evaluation process

5.1 Timing and duration of evaluation activities

Audit Level of Effort (LoE)		
Activity	**Auditors**	**Auditor hours**
1. Preparation	Ferly Cynte Lanan	4.0
2. On-site (excl. travel time)	Ferly Cynte Lanan	8.0
3. Report writing	Ferly Cynte Lanan	4.0

5.2 Auditor team qualification

Auditor qualification		
Auditor name	**Role**	**Qualification**
Ferly Cynte Lanan	Lead Auditor	CUC-approved auditor for FSC (CoC), PEFC (CoC/FM), GGL, and SBP. Possesses a professional background in forest management with extensive auditing experience. Successfully completed Certified Lead Auditor training for ISO 14001, recognised by CQI and IRCA.

5.3 Description of evaluation activities

- Opening meeting
- Scope assessment
- Reviewing procedures/ management system/ documents
- Verification of Records (Training and education, supplier verification, purchasing, production etc.)
- Tour of company, site inspections, interviewing employees
- Composing report
- Closing meeting

5.4 List of forest management units and feedstock suppliers visited

☒ N/A – No forest sites or suppliers visited during this evaluation
N/A as the organisation is trader without physical possession.

5.5 Describe audit sampling methodology used

The MR was interviewed to assess awareness of the management system and applicable requirements. Since there have been no SBP-certified product transactions since the last audit, dummy and template documents were reviewed to verify awareness.

5.6 CB stakeholder engagement

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

Guidance: Please specify the number and types of stakeholders engaged, method of engagement and its outcomes.

5.7 Stakeholder feedback and response

☒ N/A – Certification Body stakeholder consultation not applicable for this evaluation

5.8 Main strengths and weaknesses of the management system and operations

The organization has established and monitor updated manuals that cover the applicable requirements of SBP. MR was interviewed, and the organization was able to demonstrate adequate knowledge of the management system and standard requirements. The implement shall be assessed during the next audit.

5.9 Summary of evaluation activities for robustness of the Supply Base Evaluation

☒ N/A – Standard 1 not in the scope of the evaluation

5.10 Summary of evaluation activities for Processing residues and/or Post-consumer feedstock requirements per Standard 2 and EU RED

☒ N/A – processing residues and/or post-consumer feedstock not used.

5.11 Summary of evaluation activities for chain of custody system per Standard 4

The organization is a trader without physical possession. The organization has established a recording system for input and output to monitor the quantity, eligibility, and traceability of SBP-certified products.

5.12 Summary of evaluation activities for collection and communication of data per Standard 5

The organization has included the requirement in its manual. During the interview, the MR can demonstrate awareness of the Standard 5 requirement.

5.13 Summary of audit findings for competency of involved personnel

Mr. Sohra was appointed as MR who has full responsibilities and authority over the implementation of the standard. Mr. Sohra has attended training by a third-party consultant. Upon interview, Mr. Sohra can demonstrate adequate awareness of the management system and standard requirements.

5.14 Summary of audit findings for GHG methodology per Standard 6 (if applicable)

☒ N/A – Standard 6 not in the scope

6 Detailed evaluation of BP’s Supply Base Evaluation (if applicable)

☒ N/A – Supply Base Evaluation not in the scope of the evaluation

6.1 Overview of BP’s risk assessments and mitigation measures including EU RED scope

N/A

6.2 CB evaluation of specified risk indicators and mitigation measures